

## EXHIBIT A

### SETTLEMENTS OVER \$50,000 FINALIZED IN THIRD & FOURTH QUARTER 2016 AND FIRST QUARTER 2017

Case Name	Date Settled	Amount	Description	Notes
<i>Wendy Flood v. City of Santa Rosa and Does 1-50</i>	9/29/2016	\$210,000	Complaint for Personal Injury	For Settlement of Complaint.
<i>Seth McDonald v. City of Santa Rosa, State of California; County of Sonoma; Kelly Michael Brown and Does 1 through 100</i>	12/15/2016	\$637,500	Personal Injury - Loss of Limb	Settled for \$1.1m; City's Share \$637,500 (\$137,500 of that amount was paid by City's excess carrier).
<i>City of Santa Rosa v. Villages at Wild Oak Association, et al.</i>	12/15/2016	\$182,739	Public Access Easement Dispute	
<i>Buras v. City of Santa Rosa, et al.</i>	03/30/2017	\$150,000	Complaint for 4th Amendment Violation	

## EXHIBIT B

### LITIGATION AS OF 3/31/2017

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>City of Santa Rosa v. Villages at Wild Oak Association, et al.</i>	SCV 248544	11/10/10		Complaint for Declaratory Relief; to Abate Violations of City Code and for Prescriptive Public Easement	Appeal Dismissed; Settled	Settled on 11/14/2016 (Wild Oak Association) & 12/18/2016 (Church)
<i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i>	CV-11 5817 EDL	01/03/12	TBD	Class Action Complaint for Damages for police vehicle impoundments	Active - on Appeal in U.S. Appeal Court, Ninth Circuit from judgment against City and denial of class certification	
<i>Citizens for Safe Neighborhoods v. City of Santa Rosa, et al.</i>	SCV-252028	07/25/12	TBD	Petition for Writ of Mandate challenging the City's approval of a use permit to BoDean Asphalt Plant	City prevailed on Appeal 08/17/2016	8/17/2016
<i>April Wagner v. City of Santa Rosa, State of California; Anne E. Foster, et al. (case consolidated with SCV-252694 below)</i>	SCV-252508	10/24/12	According to proof	Dangerous Condition	Successful MSJ on 3/9/2016 as to April Wagner	3/9/2016
<i>Daniel McGillicuddy v. State of California, County of Sonoma, City of Santa Rosa, et al.</i>	SCV-252694	11/28/12	Acc. To Proof	Dangerous Condition	Settled on 3/20/17 for \$7,500; dismissal pending	Settled on 3/20/17; dismissal pending

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### LITIGATION AS OF 3/31/2017

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Taylor Dane v. The City of Santa Rosa, et al.</i>	SCV-253003	01/09/13	TBD	Complaint for Declaratory and Injunctive Relief	City's Demurrer Granted Denying Plaintiff's Motion for Preliminary Injunction on 4/3/2013	Appeal stayed by California Supreme Court
<i>Charles T. Jensen, et al. v. City of Santa Rosa, et al. (SAY)</i>	SCV255347	04/21/14	TBD	Petition for Writ of Mandate CEQA challenge to SAY Dream Center approval	Judgment for City; On Appeal, Awaiting Hearing Date.	
<i>Lena Harter, et al. v. CSR</i>	SCV-255401	09/04/14	TBD	Complaint for Survival/Wrongful Death - Dangerous Condition	Active - Trial date postponed and not yet set	
<i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i>	SCV-256326	11/12/14	TBD	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street	Active - Receiver Appointed 7/23/2015	
<i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i>	SCV-256582	11/24/14	TBD	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street	Active - Receiver Appointed 6/16/2015	

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<i>CVSR Holdings, LLC v. City of Santa Rosa, et al.</i>	SCV-256350	12/01/14	\$466,854.05	Breach of Contract	Trial set for 09/15/2017	
<i>Terry L. Weiss v. Santa Rosa Police Department</i>	SCV-256056	12/10/14	TBD	False arrest, unlawful detainment, violation of civil rights; negligence	Active - Discovery Underway. Set for Trial 02/12/2018	
<i>Paul Bussard and Lynn Denley-Bussard v. the City of Santa Rosa, et al.</i>	SCV-256670	01/30/15	TBD	Petition for Writ of Mandate challenging the City's approval of Calistoga Cottages Subdivision	Judgment for City on 05/27/2016 ; On Appeal, Awaiting Hearing Date.	
<i>Sheila Roff v. City of Santa Rosa; et al.</i>	SCV-256656	03/11/15	TBD	Personal Injury - Trip and Fall on sidewalk	Voluntary Dismissal	Dismissal filed
<i>Mark Buras v. City of Santa Rosa; et al.</i>	C 15-01070	03/12/15	TBD	Complaint for Civil Rights Violation and Damages	Settled on 3/30/2017	03/30/17
<i>Brian Kestler v. City of Santa Rosa, et al.</i>	C 15-01361	03/27/15	TBD	Complaint for Civil Rights Violation and Damages	Active - Set for Trial 06/20/2017	
<i>Jose Godoy, et al. v. City of Santa Rosa, et al.</i>		03/31/15	TBD	Complaint for Damages (Violation of 1st and 14th Amendment; Municipal Liability; Battery; Negligence; Violation of Civil Rights & Failure to Prevent/Interced Tacit Collaboration)	Dismissal for waiver of costs	Dismissed 8/23/2016

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Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Riccardo Capachilli, et al. v. City of Santa Rosa, et al.</i>	SCV-256628	04/02/15	TBD	Writ of Mandate to Compel Return of Seized Property; Certification of the Case as a class action and other relief	Active - Pleadings	
<i>Seth McDonald v. City of Santa Rosa, et al.</i>	SCV-256495	05/26/15	TBD	Complaint for personal injury - motorcycle accident	Settled for \$637,500 (City's Share \$500k)	12/12/16
<i>City of Santa Rosa v. Hillcone Steamship Company, et al.</i>	SCV-257219	06/03/15	TBD	Complaint to quiet title to Oakmont Treatment Plant property	Active - Pleadings	
<i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i>	ED CV06-0055-GW		TBD	Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims	Active - Discovery and Phase 2 damages trial pending	
<i>Laurie Herrera v. Donald Hughes, City of Santa Rosa, et al.</i>	SCV-256908	8/17/2015	TBD	Roller skater fall on sidewalk	Settled with City for \$7,500	11/16/16
<i>Department of Fair Employment and Housing, an agency of the State of California v. City of Santa Rosa; et al. - Thomas Draper, Real Party in Interest</i>	SCV-257379	8/24/2015	TBD	Complaint for Injunctive Relief and Compensatory Damages re alleged discrimination	Settled with City for \$20,000	02/02/17
<i>State of California, ex rel. Onthegowireless LLC v. Cellco Partnership, et al.</i>	Sacramento Superior Court Case No. 34-2012-00127517			Qui Tam action for excess phone charges	Active - Discovery Ongoing	

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<i>Wendy Flood v. City of Santa Rosa and Does 1-50</i>	SCV-258183	12/30/2015	TBD	Complaint for Personal Injury; cyclist vs. pothole	Settled with City for \$210,000	09/29/16
<i>John Dumbrille v. City of Santa Rosa and Does 1 to 20</i>	SCV-258098	3/14/2016	Unknown	Complaint for Personal Injury and Property Damage; trip and fall	Active - Set for Trial 03/28/2017	
<i>Richard Marcus v. City of Santa Rosa, THI VI Sonoma, LLC, and Does 1-10</i>	SCV-258347	2/8/2016		Personal injury sidewalk trip and fall.	Settled with City for \$5k	02/09/17
<i>Kristan Gilligan v. City of Santa Rosa, William Giles, et al.</i>	SCV-258511	3/22/2016	Unknown	Complaint for Personal Injury and Property Damage; auto rearend by employee	Settled with City for \$17.5k	01/13/17
<i>Julio Lemus Villa v. Benjamin Harold Scott, City of Santa Rosa, County of Sonoma, et al.</i>	SCV258682	5/31/2016	Unknown	Complaint for Damages- Negligence and Dangerous Condition of Public Property (Highway 12)	Active / Demurrer pending; likely to be dismissed	
<i>Jason Ferry v. John Meteyer; City of Santa Rosa; et al.</i>	SCV-258219	6/7/2016	Unknown	Complaint for personal injury; property damage (sideswipe by motorcycle officer)	Active - Set for Trial 06/02/2017	
<i>Neva Williamson v. City of Santa Rosa</i>	SCV-258519	4/7/2016	Unknown	Claims Santa Rosa Paratransit bus driver failed to secure her when she was trying to get on bus and caused her to fall	defense tendered to MV Transportation - Settled	Settled

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Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Norman Greenbaum v. City of Santa Rosa, et al.</i>	SCV-258671	8/15/2016	Over \$25K	Complaint for Damages Dangerous Condition of Public Property and Negligence	Dismissal Pending	
<i>Cory Anthony DeBari v. Mathew White</i>	SCV-259138	7/20/2016	Unknown	Complaint for Concealment		Dismissed 8/23/2016
<i>Bryce Lemmons v. County of Sonoma, California Forensics Medical Group, Inc., City of Santa Rosa, et al.</i>	USDC C16-04553 WHO	8/30/2016	\$ 5 mil	Complaint for Civil Rights Violation; Negligence	Active - Discovery Underway. Set for Trial 03/05/2018	
<i>Alexander Kaplan dba Wildcat Construction v. Cal-C.A.D.E. Construction, Inc., James Gallagher, Paul McKenna, Streamline Custom Builders, Inc., The City of Santa Rosa, Wells Fargo, N.A., et al.</i>	SCV-259471	10/20/2016	\$48,779 +	Complaint for Breach of Contract; foreclosure of Mechanic's Lien	Dismissed	11/15/16
<i>Robert J. Warrick, Jr. v. Dwight Reed, City of Santa Rosa, et al.</i>	SCV-259744	11/11/2016	TBD	Complaint for Personal Injury (Motor Vehicle) - City Bus v. Motor Vehicle	Active - Discovery underway. Set for Trial 11/3/2017	
<i>Sheila Cassell v. City of Santa Rosa and Robert Spillane</i>	SCV-259191	9/7/2016	TBD	Personal Injury; Trip and Fall - Dangerous Condition	Dismissed	11/21/16
<i>Tonya May v. City of Santa Rosa, Mark Avila Viera, Focus Real Estate, et al.</i>	SCV-258972	10/4/2016	TBD	Personal Injury; Trip and Fall - Dangerous Condition	Active - Pleadings	
<i>Damien Cesena v. City of Santa Rosa</i>	SCV-260297	3/10/2017	TBD	Complaint for Violation of 4th and 14th Amendments	Active - Pleadings	

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Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Sergio Hernandez, Sr. v. Novato Disposal Service, Inc.; North Bay Corporation; The Ratto Group of Companies Inc.; Redwood Empire Disposal, Sonoma County, Inc.; City of Santa Rosa; Jesus Albavieramendiola, et al.</i>	SCV-260326	3/27/2017	TBD	Complaint for Personal Injury - Motor Vehicle and Negligence (Garbage Truck and Motor Vehicle)	Active - Pleadings	



## EXHIBIT C

### NEW CLAIMS FILED IN THIRD & FOURTH QUARTER 2016 AND FIRST QUARTER 2017

Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
Steiner, Herbert	Unknown	Ongoing	12/1/2015	2210 Newgate Court	Homeowner alleges noise/vibration from City water valve
Knott, Chelsea	TBD	6/18/2016	7/5/2016	Lewis Road - sidewalk by bus stop near the Fire Station	Claims she tripped on two inch high crack in sidewalk.
Wattz, Rochelle	\$500,000.00	1/22/2016	7/20/2016	4012 Shadowhill Dr.	Claims emotional distress, etc., alleging that police illegally searched her house after a fire where marijuana was found in garage
DeBari, Cory	\$5,000,000.00	6/7/2016	6/14/2016	SRPD	Claims officer lied
Pryne, Kevin	\$912.45	4/3/2016	7/14/2016	Santa Rosa Memorial Hospital	Claims he received medical treatment without his consent at insistence of SRPD
Thompson, Donna	\$2,000.00	4/28/2016	7/27/2016	Rodeway Inn	Claims phone and wallet were given to husband by SRPD against her wishes while enroute to Sutter Hospital
Trippo, Gwen	TBD	10/6/2016	7/13/2016	Santa Rosa City Bus, not specified where	Claims she was exiting city bus when the bus door closed on her right arm
Smith, Veronica A.	\$1,000,000.00	3/25/2016	8/11/2016	Llano Road south of Meadow Lane	Claims her vehicle was hit by City employee, driving a city vehicle, when he turned in front of her
Gallardo, Raul	No amount listed	8/14/2016	8/29/2016	Not listed	Claims he tripped in the dark on uneven pavement
Parsley, Ruby	At least \$370.00	7/20/2016	9/6/2016	Courtyard at Person Senior Center	Claims she tripped on a bolt and broke a tooth
Warrick, Robert	TBD	4/8/2016	9/19/2016	Intersection of Guerneville Road and Ridley Ave.	Claims bus made an unsafe lane change colliding with vehicle and center median
Unangst, Joyce	\$500,000.00	4/5/2016	9/30/2016	420 College Ave and 30 Mark West Springs Rd	Claims she was falsely imprisoned/arrested for risk of possible suicide

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Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
Bronson, Kayla Nicole	\$18,498.00	8/12/2016	10/5/2016	West Barham Street	Claims police officer hit her car
Barrera, Enma Josefa	\$40,619.13	5/20/2016	11/18/2016	Santa Rosa Avenue, 30 feet of Bellevue Avenue	Claims she was hit by a car in the crosswalk, and claiming dangerous condition
Aversa, Marianna	TBD	5/29/2016	11/28/2016	1311 West Steele Lane, Santa Rosa, Ca	Claims she tripped on a raised sidewalk
Gonzalez, Nicole	TBD	6/6/2014	11/30/2016	Marlow and Piner Roads, Santa Rosa	Claims she sustained personal injury as a passenger on CityBus that collided with third party auto
Berman, Dale	In excess of \$100,000	6/5/2016	12/2/2016	Southeast corner of Morgan and 6th street	Claims he fell on a raised curb
Bronson, Julianne	TBD	6/14/2016	12/14/2016	Sebastopol Road/Santa Rosa Ave bus stop. Across st. from bus stop Bille Jean #465	Claims she was hurt exiting bus
Mathis, Jennifer	\$26,000.00	6/20/2016	12/14/2016	At or near Cleveland Avenue and College Avenue, Santa Rosa	Claims she was rearended by a city employee
Alvarez, Teresa	\$20,000.00	10/11/2016	12/16/2016	Along the breezeway around the 7th street parking garage	Claims she and her dog were stung by yellowjackets
Hernandez, Sergio	TBD	9/20/2016	12/22/2016	Arnold Drive 82 Feet south of Simone Road, Unincorporated Sonoma, County of Sonoma	Claims he struck a garbage truck dumpster vehicle with no lights on

## EXHIBIT C

### NEW CLAIMS FILED IN THIRD & FOURTH QUARTER 2016 AND FIRST QUARTER 2017

Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
Kidane, Alganesh	\$100,000.00	12/30/2013	5/16/2016	Pinecrest Dr. and Teak Court, S.R.	Claims she was hit by a car when walking on roadway. Claims inadequate street lighting
Davenport, John L	\$2000 or more	9/20/2016	12/13/2016	924 Grand Ave Santa Rosa, Ca	Claims property was destructed by police during search
Otoole, Mikeal, Paul	TBD		1/10/2017	Unknown	Claims he is being criminalized for being homeless, and it is a direct violation of his 8th amendment right
Carpenter, David	\$10,600.00	8/24/2016	1/8/2017	715 Tupper St.	Claims police damaged door, locks, etc. when breaking into home
Boll-Proffer, Justin	\$1,650.00	11/9/2015	1/13/2017	Highway 12 next to 7/11 headed East	Claims he was rearended by a police car
Leary, Sammy A	\$390.12	8/24/2016	1/5/2017	Across the street from Santa Rosa visiting center on 4th street	Claims she sprained left ankle, ad possibly tore a ligament in hand from bad asphalt
Patterson, Remedios	\$20,000.00	11/4/2016	2/1/2017	SR Transit Mall	Claims injury to right hip when bus accelerated while she was trying to exit the bus
Dabney, Courtney	\$8,537.70	8/5/2016	2/1/2017	Sidewalk on the west side of Mendocino Avenue near 2305 Mendocino Ave	Claims she tripped on uneven sidewalk
Greer, Jeremy Jr.	>\$10,000	8/13/2016	2/8/2017	2436 Basque Ct. Santa Rosa CA	Claims he suffered injury due to officers unlawful, unreasonable, and excessive use of force upon him
Wright, Alexis K	>\$10,000	8/13/2016	2/8/2017	2436 Basque Ct. Santa Rosa CA	Claims her son suffered injury due to officers unlawful, unreasonable, and excessive use of force upon him

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Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
CBD Guild	\$60,000.00	7/21/2016	1/20/2017	2330 Circadian Way, Santa Rosa CA	Claims CO2 seized by SRPD officers during search warrant were destroyed while in possession or SRPD without court order
Lyles, Jr., Russell	\$100,000.00	8/26/2016	2/22/2017	9149 Windsor Rd., Windsor, CA	Claims unreasonable search and seizure, violation of civil rights
State Fund Subro/Patterson	2,236	11/4/2017	3/3/2017	SR Transit Mall	Claims injury to right hip when bus accelerated while she was trying to exit the bus
Hockert, Raquel	Unknown	2/14/2017	3/6/2017	In front of cook middle school	Claims vehicle was rear ended by SRPD
Gradov, Marilyn	Unknown	9/28/2016	2/28/2017	Across the street from 1876 Slater	Claims she fell and slipped in a hole and broke her back and has nerve pain