

EXHIBIT A

SETTLEMENTS OVER \$50,000 FINALIZED IN FOURTH QUARTER 2018

| Case Name | Date Settled | Amount | Description | Notes |
|-----------|--------------|--------|-------------|-------|
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NONE TO REPORT

EXHIBIT B

LITIGATION AS OF JANUARY 17, 2019

| Full Case Name | Court/Case No. | Date Served | Amount Claimed | Category | Type of Action/ Comments | STATUS | SETTLED OR DISMISSED DATE |
|--------------------------------------------------------------------------------------------------------------------------|--------------------|-------------|----------------|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------|
| <i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i> | SCV-256326 | 11/12/14 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street | Dismissed (violations resolved) - Receiver Discharged 10/28/2016 | Dismissed 10/28/2016 |
| <i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i> | SCV-256582 | 11/24/14 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street | Dismissed (violations resolved) - Receiver Discharged 02/08/2017 | Dismissed 02/08/2017 |
| <i>People of the State of CA, City of Santa Rosa v. Estate of Charles Duncan, et al.</i> | SCV-261005 | 7/31/2017 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 6837 Oak Leaf Drive | Dismissed - Receiver Discharged 10/18/2018 | Dismissed 10/30/2018 |
| <i>Timothy Aboudara, Jr, et al. on behalf of themselves and all similarly situated individuals v. City of Santa Rosa</i> | CV 17-01661 (HSG)) | 6/9/2017 | TBD | Other | Complaint for Violations of the Fair Labor Standards Act | Active - Trial date vacated. Awaiting relevant ruling by Labor Board. | |
| <i>Christopher Carter v. Teresa Caratti, City of Santa Rosa, et al.</i> | SCV-261366 | 12/5/2017 | \$440,000 | Other | Complaint for fraud, negligent misrepresentation, rescission, breach of fiduciary duty, constructive fraud, breach of implied warranty, violation of Civil Code Sec. 1102 et seq. and negligence | Trial court granted City's Demurrer without leave to amend. | 04/06/2018 Judgment in favor of City of Santa Rosa |

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| <i>Deborah Drake, Samantha Jenkins, Nicholle Vannucci, Steven Robert Singleton, and Ellen Brown, individuals; and Homeless Action!, an unincorporated association v. County of Sonoma, Sonoma County Community Development Commission, City of Santa Rosa, and Does I to XX</i> | 3:18-CV-01955-VC | 4/2/2018 | TBD | Other | Complaint for Declaratory Relief and Injunctive Relief | Active - Trial set for 12/22/2019 | |
| <i>Jamie Woods v. City of Santa Rosa, and DOES 1 through 10, inclusive</i> | SCV-263013 | 8/23/2018 | TBD | Other | Unlawfully denied specified pay and accrued benefits while absent from work as result of work-related injury | Dismissed - City's demurrer granted without leave to amend. | Dismissed 11/28/2018 |
| <i>Bobby James Hubbard et al v. City of Santa Rosa, and DOES 1-25</i> | | 11/14/2018 | TBD | Personal Injury | Complaint for damages; tripped and fell on public sidewalk causing injury; negligence. | Active - Discovery | |
| <i>Adrian Madrigal, a minor, through his guardian ad litem, Karissa Milazo v. City of Santa Rosa and DOES 1 through 20</i> | SCV-263628 | 12/17/2018 | TBD | Personal Injury | Complaint for personal injury; General Negligence and Infliction of Emotional Distress | Active - Pleadings | |
| <i>Sergio Hernandez, Sr. v. Novato Disposal Service, Inc.; North Bay Corporation; The Ratto Group of Companies Inc.; Redwood Empire Disposal, Sonoma County, Inc.; City of Santa Rosa; Jesus Albavieramendiola, et al.</i> | SCV-260326 | 3/27/2017 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury - Motor Vehicle and Negligence (Garbage Truck and Motor Vehicle) | Active - tendered defense (Trial scheduled for 5/10/2019) | |

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| <i>Su Wolfard v. City of Santa Rosa; and DOES 1-25</i> | SCV-262044 | 3/5/2018 | TBD | Personal Injury/Dangerous Condition | Personal Injury - Claims she fell in pothole and broke ankle & wrist | Active - Trial set for 09/06/2019. CSR tender to WinterBlast insurer accepted. CSR has no monetary exposure. | |
| <i>Laure Ann Nicole Friedell v. Michael Quinto Spediacci III, and Does 1 through 20</i> | SCV-262164 | 5/7/2018 | According to Proof | Personal Injury/Dangerous Condition | Personal Injury - Auto (hit by police car in parking lot) | Active - Trial set for 08/09/2019 | |
| <i>Deirdre Solar v. City of Santa Rosa and Santa Rosa City Schools; Does 1-20</i> | SCV-262527 | 6/4/2018 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury; General Negligence and Premises Liability | Active - Trial set for 05/24/2019 | |
| <i>Gustavo Climaco v. Santa Rosa Police Department; City of Santa Rosa; Does 1-20, Inclusive</i> | SCV-262843 | 7/31/2018 | TBD | Personal Injury/Dangerous Condition | Personal injury auto - claims police car hit his car | Active - Trial set for 08/23/2019 | |
| <i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i> | CV-11 5817 EDL | 01/03/12 | TBD | Police Action | Class Action Complaint for Damages for police vehicle impoundments | Active - Appellate Court recently affirmed trial court finding a Constitutional violation, but also affirming trial court denial of class certification. Parties now considering further appellate review | |

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| <i>Terry L. Weiss v. Santa Rosa Police Department</i> | SCV-256056 | 12/10/14 | TBD | Police Action | False arrest, unlawful detainment, violation of civil rights; negligence | Court granted City's Motion for Summary Judgment and Judgment on the Pleading, and entered Judgment in favor of the defense | 12/12/2018 Judgment in favor of City |
| <i>Estate of Pablo Garcia Toribio; Pablo Garcia Santos, individually and as Sucessor in Interest to Pablo Garcia Toribio; Margarita Toribio Dominguez, an individual v. City of Santa Rosa; The Santa Rosa Police Department; The Chief of Police for the Santa Rosa Police Department, Hank Schreeder; Officer Nicholas Vlahandreas; Officer Jeffrey Badger; Officer Park McAllister and Does 1 through 50, inclusive</i> | C 18-2151 YGR | 5/21/2018 | TBD | Police Action (Wrongful Death) | First Amended Complaint for Wrongful Death; negligence; violation of civil rights | Active - Trial set for 06/17/2019. Motion for summary Judgment set for hearing in February 2019. | |
| <i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i> | ED CV06-0055-GW | N/A | TBD | Qui Tam | Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims | Active - Discovery and Phase 2 damages trial pending | |
| <i>State of California, ex rel. Onthegowireless LLC v. Cellco Partnership, et al.</i> | Sacramento Superior Court Case No. 34-2012-00127517 | N/A - City is Plaintiff in Intervention | Unknown | Qui Tam | Qui Tam action for excess phone charges | Active - Trial set for 12/02/2019. Discovery Ongoing | |
| <i>BoDean Company</i> | SCV-262502 | 5/24/2018 | | Writ of Mandate | Writ of Mandate, Writ of Prohibition & Complaint | Active - Trial scheduled 05/24/2019 | |

EXHIBIT C

NEW CLAIMS REFERRED TO THE CITY ATTORNEY'S OFFICE IN FOURTH QUARTER 2018

| Claimant Name | Date of Claim | Date of Incident | Claim Amount | Location | Description of Claim |
|----------------------------------------|---------------|------------------|----------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| Comora, Louise | 10/5/2018 | 5/7/2018 | | Trash Room, 638 Fifth St, Santa Rosa, CA | Claimant opened trash bin door, it fell over, plastic tie on overflowing trash bags caught her finger causing injuries. |
| Casey, Robert | 10/8/2018 | 6/1/2018 | over \$25,0000 | Near 2168 San Antonio Dr, Santa Rosa, CA 95405 by Strawberry Park | Claimant suffered injuries due to encounter with SRPD |
| Davenport, John L | 10/25/2018 | 2/5/2018 | \$4,000 | 924 Grand Ave, Santa Rosa, CA 95404 | SRPD entered home without warrant and caused damage to property |
| Hernandez, Rose | 11/15/2018 | 5/21/2018 | over \$25,0000 | Foodmaxx Parking Lot, 2015 Sebastopol Rd, Santa Rosam CA 95407 | Claimant states SRFD fire truck backed into her parked vehicle while she was sitting in it |
| Sanchez, Carmen et al/Estate of Lozano | 11/30/2018 | 6/2/2018 | over \$25,0000 | Santa Rosa Avenue, 13ft north of Court St, Santa Rosa, CA | Wrongful death claim by estate of Jose Sanchez Lozano (auto) |
| Hilkey, Shane | 12/11/2018 | 7/12/2018 | \$24,500 | 2046 San Miguel Ave, Santa Rosa, CA 95403 | City changed zoning and now property does not comply with rebuild due to lot size |
| Avalos, Jobita | 12/13/2018 | 6/15/2018 | over \$25,0000 | Sebastopol Rd and West Ave in Santa Rosa | Claimant was injured while riding on a City bus |
| Martinez, Ramiro | | 6/13/2018 | over \$25,0000 | Moorland Avenue, 1170 ft north of Todd Road | Claimant was hit by a car while walking on Moorland Avenue |
| Pierce, Kimberly | 12/28/2018 | 1/4/2018 | over \$25,0000 | | Claimaint suffered physical and emotional trauma due to sexual assault by offender who Police failed to arrest and revoke probation prior to 1/4/18. |

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| Carral, Ryan (Minor) and Chadwell, Kristi | 1/8/2019 | 11/4/2018 | over \$25,0000 | Bayer Park | Minor claimant was at Bayer Park when a globe from one of the light poles fell and struck him in the head, causing physical injuries. Claimant mother witnessed the incident and alleges emotional distress. |
| Almanza, Juan | 1/11/2019 | 7/13/2018 | over \$7,000 | 786 Kawana Springs Road | Claimant alleges that he sustained injuries when he was arrested |
| Wood, Rebekah | 1/14/2018 | 9/5/2018 | over \$25,0000 | Sonoma County Jail Parking Lot, 2777 Venruta Ave, Santa Rosa, CA 95403 | Claimant tripped and fell causing injuries |