

CITY OF SANTA ROSA
CITY COUNCIL

TO: MAYOR AND CITY COUNCIL
FROM: CHRIS CATBAGAN, ASSOCIATE CIVIL ENGINEER
TRANSPORTATION AND PUBLIC WORKS
SUBJECT: APPROVAL OF SECOND AMENDMENT TO COOPERATIVE
AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE
CITY OF SANTA ROSA FOR THE HEARN AVENUE
INTERCHANGE AT HIGHWAY 101 PROJECT

AGENDA ACTION: RESOLUTION

RECOMMENDATION

It is recommended by the Transportation and Public Works Department that Council, by resolution, approve and delegate authority to the City Manager or designee to execute Amendment No. 2 to the Cooperative Agreement No. 04-2600 (04-2600-A2) between the State of California and the City of Santa Rosa for Quality Management Assessment of the preparation of the project plans, specifications, and estimate; right-of-way support; and right-of-way acquisition for the Hearn Avenue Interchange at Highway 101 Project.

EXECUTIVE SUMMARY

This resolution will approve Amendment No. 2 Cooperative Agreement No. 04-2600-A2 between Caltrans and the City for Quality Management Assessment (QMA) of the preparation of the project plans, specifications, and estimate (PS&E); right-of-way (R/W) support; and right-of-way acquisition for the Hearn Avenue Interchange at Highway 101 Project. There is no cost to the City associated with this amendment.

Under the amended Cooperative Agreement, Caltrans' scope of work will include additional tasks to facilitate and transition them into the role of Implementing Agency for the construction phase. Additional tasks include finalizing the "Final District PS&E Package", completing the "Contract Bid Document Ready to List" and "Awarded and Approved Construction Contract" tasks. As this scope was not previously included in the cooperative agreement, and Caltrans requires they complete these tasks during the PS&E phase, an amendment is necessary.

This resolution supports Council Goal 5 by investing in and sustaining infrastructure and transportation. The reconstruction of the Hearn Avenue bridge over US-101 will deliver

APPROVAL OF SECOND AMENDMENT TO COOPERATIVE AGREEMENT
BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE
HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 2 of 4

the last phase to complete the Hearn Avenue Interchange, which will improve local traffic circulation and regional traffic operations. The widening of the bridge will promote multi-modal transportation access and connectivity with bicycle lanes and widen pedestrian sidewalks.

BACKGROUND

1. The City has an interest in widening the Hearn Avenue Interchange because the interchange's operations have been problematic with local and regional traffic circulation.
2. The City implemented a three-phase delivery schedule for the Hearn Avenue Interchange and has already completed construction required for the replacement of the bridge by undertaking work adjacent to the project site. Phase 1, the east side of the interchange has been widened along Santa Rosa Avenue. Phase 2, the west side of the interchange has been widened along Hearn Avenue. Phase 3 will be the reconstruction of the Hearn Avenue bridge over US-101.
3. On April 18, 2017, City Council, by Resolution No. 2017-056, approved Cooperative Agreement No. 04-2600 between the State of California and the City of Santa Rosa for Independent Quality Assurance, now known as Quality Management Assessment, during the preparation of the project plans, specifications and estimate, and right-of-way support for the Project.
4. On November 17, 2020, City Council, by Resolution No. 2020-198, approved Amendment No. 1 Cooperative Agreement No. 04-2600-A1 between the State of California and the City to include the tasks of advertising and awarding the Project. The City confirmed the preference of utilizing Caltrans' service to advertise, award, and administer the Project (AAA).
5. Caltrans will complete the advertisement of the construction contract and be responsible for the bid opening process and award during the PS&E phase. However, when Cooperative Agreement No. 04-2600, and Amendment No. 1 to this Cooperative Agreement, were executed, these required Caltrans activities were not disclosed within the agreement.
6. Amendment No.2 Cooperative Agreement 04-2600-A2 includes language for Caltrans to complete the following activities: 1) provide Final District PS&E

APPROVAL OF SECOND AMENDMENT TO COOPERATIVE AGREEMENT
BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE
HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 3 of 4

Package, 2) complete Contract Bid Document Ready to List; and 3) finalize Awarded and Approved Construction Contract. Completion of these tasks will allow for execution of a future construction cooperative agreement between the City and Caltrans.

7. A future construction cooperative agreement will authorize Caltrans to be responsible for administering the Project's construction.

PRIOR CITY COUNCIL REVIEW

In August 2005, the City and Sonoma County Transportation Authority (SCTA) entered into Cooperative Agreement No. M30806-05 approving the cooperative funding agreement for Measure M funds for Hearn Avenue Improvements Phase I, Phase II and a portion of Phase III.

On April 3, 2012, the City Council, by Resolution No. 28069, approved Cooperative Agreement No. 04-2348 between the State of California and the City of Santa Rosa to develop the Project Initiation Document (PID). The City Council approved this agreement by Resolution No. 28069.

On February 25, 2014, City Council, by Resolution No. 28433, approved Cooperative Agreement No. 04-2492 between the State of California and the City of Santa Rosa to complete the Project Approval and Environmental Document (PA&ED) phase.

On April 18, 2017, City Council, by Resolution No. 2017-056, approved Cooperative Agreement No. 04-2600 between the State of California and the City of Santa Rosa for Independent Quality Assurance, now known as Quality Management Assessment, during the preparation of the project plans, specifications and estimate, and right-of-way support for the Project.

On November 17, 2020, City Council, by Resolution No. 2020-198, approved Amendment No. 1 to Cooperative Agreement No. 04-2600-A1 between the State of California and the City of Santa Rosa for Quality Management Assessment during the preparation of the project plans, specifications, and estimate; right-of-way support; and right-of-way acquisition for the Hearn Avenue Interchange at Highway 101 Project.

ANALYSIS

1. If the City approves Amendment No. 2 to the Cooperative Agreement, then the Project will be able to utilize Caltrans to finalize "Final District PS&E Package", provide the "Contract Bid Document Ready to List" task, and complete the "Awarded and Approved Construction Contract" task during the PS&E phase.

APPROVAL OF SECOND AMENDMENT TO COOPERATIVE AGREEMENT
BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE
HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 4 of 4

2. This amendment is necessary in order to execute a future construction cooperative agreement.
3. There is no cost to the City associated with this amendment.

FISCAL IMPACT

There is no cost to the City associated with this amendment. Approval of this Cooperative Agreement will not impact the General Fund.

ENVIRONMENTAL IMPACT

In December 2016, Caltrans, as the lead environmental agency, approved a Negative Declaration while complying with the requirements of the California Environmental Quality Act (CEQA).

In December 2016, Caltrans, as the lead environmental agency, approved a Finding of No Significant Impact while complying with the requirements of the National Environmental Policy Act (NEPA).

BOARD/COMMISSION/COMMITTEE REVIEW AND RECOMMENDATIONS

Not applicable.

NOTIFICATION

Not applicable.

ATTACHMENTS

- Attachment 1 – Location Map
- Resolution/Exhibit A

CONTACT

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