

CITY OF SANTA ROSA
CITY COUNCIL

TO: MAYOR AND CITY COUNCIL
FROM: CHRIS CATBAGAN, ASSOCIATE CIVIL ENGINEER
TRANSPORTATION AND PUBLIC WORKS
SUBJECT: AMENDMENT TO COOPERATIVE AGREEMENT BETWEEN THE
STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR
THE HEARN AVENUE INTERCHANGE AT HIGHWAY 101
PROJECT

AGENDA ACTION: RESOLUTION

RECOMMENDATION

It is recommended by the Transportation and Public Works Department that Council, by resolution, approve the Amendment No. 1 to Cooperative Agreement No. 04-2600-A1 between the State of California and the City of Santa Rosa for Quality Management Assessment during the preparation of the project plans, specifications and estimate; right-of-way support; and right-of-way acquisition for the Hearn Avenue Interchange at Highway 101 Project.

EXECUTIVE SUMMARY

This resolution will approve the Amendment Cooperative Agreement No. 04-2600-A1 between Caltrans and the City for Quality Management Assessment (QMA) for the preparation of the project plans, specifications and estimate (PS&E); and right-of-way (R/W) support; and right-of-way acquisition for the Hearn Avenue Interchange at Highway 101 Project.

Under the amended Cooperative Agreement, the City will remain the Implementing Agency for the PS&E phase, and Caltrans will remain the Implementing Agency for the R/W phase. In addition, Caltrans will remain responsible for QMA. However, Caltrans will perform the task of awarding and approving the construction contract during the PS&E phase at an increased cost of \$150,000 within the original agreed PS&E funding support.

This resolution supports Council Goal 5 by investing in and sustaining infrastructure and transportation. The reconstruction of the Hearn Avenue bridge over US-101 would deliver the last phase to complete the Hearn Avenue Interchange, which would improve local traffic circulation and regional traffic operations. The widening of the bridge would

AMENDMENT TO COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 2 OF 4

promote multi-modal transportation access and connectivity with bicycle lanes and widen pedestrian sidewalks.

BACKGROUND

1. The City has an interest in widening the Hearn Avenue Interchange because the interchange's operations have been problematic with local and regional traffic circulation.
2. The City implemented a three-phase delivery schedule for the Hearn Avenue Interchange and has already completed construction required for the replacement of the bridge by undertaking work adjacent to the project site. Phase 1, the east side of the interchange has been widened along Santa Rosa Avenue. Phase 2, the west side of the interchange has been widened along Hearn Avenue. Phase 3 will be the reconstruction of the Hearn Avenue bridge over US-101.
3. In April 2017, the City and Caltrans entered into Cooperative Agreement No. 04-2600 for Independent Quality Assurance, now known as Quality Management Assessment, during the preparation of the project plans, specifications and estimate and right-of-way support for the Project.
4. Cooperative Agreement No. 04-2600 did not include the Caltrans' task of advertising and awarding the Project. The Project design phase is expected to be completed by December 2020.
5. During the design phase, the City has confirmed the preference of utilizing Caltrans' service of advertise, award and administer the Project (AAA).
6. The Project is expected to utilize federal and state funds for construction. Therefore, the City will need to comply with federal guidelines to administer and provide invoices to Caltrans while advertising, awarding and administering the Project. The City lacks the staff resources and expertise to locally accomplish AAA.
7. Caltrans will complete the advertisement of the construction contract and be responsible for the bid opening process and award during the PS&E phase.
8. A future construction cooperative agreement with the City will authorize Caltrans to administer Construction Project, which will have Caltrans be responsible for administering all phases of the project. This future construction cooperative agreement will be executed once all funding for the Construction phase is secured.

AMENDMENT TO COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 3 OF 4

PRIOR CITY COUNCIL REVIEW

In August 2005, the City and Sonoma County Transportation Authority (SCTA) entered into Cooperative Agreement No. M30806-05 approving the cooperative funding agreement for Measure M funds for Hearn Avenue Improvements Phase I, Phase II and a portion of Phase III.

On April 3, 2012, the City and Caltrans entered into Cooperative Agreement No. 04-2348 to develop the Project Initiation Document (PID). The City Council approved this agreement by resolution No. 28069.

On February 25, 2014, the City and Caltrans entered into Cooperative Agreement No. 04-2492 to complete the Project Approval and Environmental Document (PA&ED) phase. The City Council approved this agreement by resolution No. 28433.

In April 2017, the City and Caltrans entered into Cooperative Agreement No. 04-2600 for Independent Quality Assurance, now known as Quality Management Assessment, during the preparation of the project plans, specifications and estimate; and right-of-way support for the Project. The City Council approved this agreement by resolution No. RES-2017-056.

ANALYSIS

1. If the City approves the amendment to the Cooperative Agreement, then the project would utilize Caltrans to advertise and award the Project.
2. The Amendment to the Cooperative Agreement would increase the cost to \$150,000 within the original agreed PS&E funding support.

FISCAL IMPACT

The Council has previously approved agreements with the Sonoma County Transportation Authority for Measure "M" funding for the PS&E and R/W phase of the project. The budget included in the Amended Cooperative Agreement has increased the cost for Caltrans' portion of the work from \$1,271,000 to \$1,421,000.

Approval of this Cooperative Agreement will not impact the General Fund because there are sufficient funds for the PS&E and R/W phases. PS&E and R/W work are funded with the 2017/2018 fiscal year City Capital Improvement Program (CIP).

AMENDMENT TO COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE HEARN AVENUE INTERCHANGE AT HIGHWAY 101 PROJECT
PAGE 4 OF 4

ENVIRONMENTAL IMPACT

The proposed project has been reviewed in compliance with the California Environmental Quality Act (CEQA) and the National Environmental Policy Act (NEPA). In December 2016, Caltrans approved both a Negative Declaration to comply with the requirements of CEQA, and a Finding of No Significant Impact to comply with the requirements of the NEPA.

BOARD/COMMISSION/COMMITTEE REVIEW AND RECOMMENDATIONS

Not applicable.

NOTIFICATION

Not applicable.

ATTACHMENTS

- Attachment 1 – Location Map
- Resolution/Exhibit A

CONTACT

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