

## EXHIBIT A

### SETTLEMENTS OVER \$50,000 FINALIZED IN SECOND QUARTER 2015

| Case Name                                     | Date Settled | Amount    | Notes                                  |
|-----------------------------------------------|--------------|-----------|----------------------------------------|
| Marlon Whitmore v. City of Santa Rosa, et al. | 3/3/2015     | \$210,000 | settlement agreement finalized 4/28/15 |

## EXHIBIT B

### LITIGATION AS OF 6/30/2015

| Full Case Name                                                                         | Court/Case No.    | Date Served         | Amount Claimed        | Type of Action/ Comments                                                                                   | STATUS                                                                                           | SETTLED OR DISMISSED DATE  |
|----------------------------------------------------------------------------------------|-------------------|---------------------|-----------------------|------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------|
| <i>City of Santa Rosa v. Chamberlain-Nielsen Ranch, Ltd., et al.</i>                   | SCV 234406        | 03/17/04 & 03/18/04 | in excess of \$25,000 | Complaint on Performance Bond; Breach of Contract                                                          | Active - Set for Trial<br>09/18/2015                                                             |                            |
| <i>Raman D. Patel, et al. v. City of Santa Rosa, et al.</i>                            | C 06-3267         |                     | \$70 million          | Federal Civil Rights filed against City after red light abatement actions against the Patels filed by City | Stayed until underlying proceedings against the Patel family are completed - no action by Patels |                            |
| <i>Evan Rones, et al. v. A-1 Septic Construction, Inc., City of Santa Rosa, et al.</i> | RG10696023        | 02/03/10            | According to proof    | Complaint for Damages, Restitution and Injunctive Relief (Failure to Pay Wages and Overtime)               | Tender of Defense sent on 2/5/10 to A-1 Septic and Suretec                                       |                            |
| <i>City of Santa Rosa v. Villages at Wild Oak Association, et al.</i>                  | SCV 248544        | 11/10/10            |                       | Complaint for Declaratory Relief; to Abate Violations of City Code and for Prescriptive Public Easement    | Active - no Trial Date                                                                           |                            |
| <i>Colleen Maguire v. City of Santa Rosa</i>                                           | C11-02352<br>USDC | 05/27/11            | According to proof    | ADA Complaint – wheelchair-bound plaintiff alleges fall due to inaccessible curb ramp                      | Active - Ongoing monitoring under Consent Order                                                  | Consent Order filed 3/6/13 |

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|--------------------------------------------------------------------------------------------------------|----------------|-------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|------------------------------|
| <i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i> | CV-11 5817 EDL | 01/03/12    | TBD                | Class Action Complaint for Damages and Injunctive Relief                                                                    | Active - Pleading stage, Class Action Certification Denied in Feb 2015. |                              |
| <i>Citizens for Safe Neighborhoods v. City of Santa Rosa, et al.</i>                                   | SCV-252028     | 07/25/12    | TBD                | Petition for Writ of Mandate                                                                                                | On Appeal - ruling in favor of City                                     |                              |
| <i>April Wagner v. City of Santa Rosa, et al.</i>                                                      | SCV-252508     | 10/24/12    | According to proof | Dangerous Condition                                                                                                         | Active - Discovery                                                      |                              |
| <i>Daniel McGillicuddy v. City of Santa Rosa, et al.</i>                                               | SCV-252694     | 11/28/12    | Acc. To Proof      | Dangerous Condition                                                                                                         | Consolidated with Wagner                                                |                              |
| <i>Taylor Dane v. The City of Santa Rosa, et al.</i>                                                   | SCV-253003     | 01/09/13    | TBD                | Declaratory and Injunctive Relief                                                                                           | On Appeal - ruling in favor of City                                     |                              |
| <i>Earl Kennedy and Monique Yvonne Isom v. County of Sonoma, City of Santa Rosa, et al.</i>            | SCV-252753     | 11/21/12    | TBD                | Negligence, Willful & Wanton Misconduct; Dangerous Condition of Public Property; Negligent Infliction of Emotional Distress | Active - Discovery                                                      |                              |
| <i>Mark Gregory v. MV Transportation, Inc., the City of Santa Rosa, et al.</i>                         | SCV-253341     | 03/25/13    | TBD                | Complaint for Damages; negligence                                                                                           | Tendered Defense                                                        |                              |
| <i>Airport Business Center, et al. v. City of Santa Rosa</i>                                           | SCV-253897     | 07/02/13    | TBD                | Petition for Peremptory Writ of Mandate and Complaint for Declaratory Relief                                                | Active - Set for Trial 10/09/2015                                       |                              |
| <i>Adam Bigham v. City of Santa Rosa, et al.</i>                                                       | SCV-253926     | 07/18/13    | TBD                | Complaint for Negligence re roadway design (auto v. bicycle fatality)                                                       | Active - Set for Trial 03/11/16                                         |                              |
| <i>Marlon Whitmore v. City of Santa Rosa, et al.</i>                                                   | C 13-1408      | 07/24/13    | TBD                | Violation of civil rights; unlawful search and seizure; excessive force                                                     | Settled - \$210,000                                                     | Settlement finalized 4/28/15 |

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| <i>Ronald Norgrove v. City of Santa Rosa</i>                              | SCV-254717     | 12/12/13    | TBD            | Complaint for Personal Injury and Premises Liability                                                                                                      | Active - Set for Trial 03/18/16                                    |                           |
| <i>Samuel P. Siebert v. City of Santa Rosa, et al.</i>                    | SCV-252626     |             | TBD            | trip and fall                                                                                                                                             | Tendered Defense                                                   |                           |
| <i>Charles T. Jensen, et al. v. City of Santa Rosa, et al.</i>            | SCV255347      | 04/21/14    | TBD            | Petition for Writ of Mandate                                                                                                                              | On Appeal - ruling in favor of City                                |                           |
| <i>Kyle David Biedma, et al. City of Santa Rosa, et al.</i>               | SCV-255382     | 05/23/14    | TBD            | Complaint for Negligence, False Imprisonment, Battery, Malicious Prosecution, Violation of Civil Rights                                                   | Active - Pleadings Third Amended Complaint filed                   |                           |
| <i>Ronald B. Pike v. City of Santa Rosa, et al.</i>                       | SCV-255591     | 06/12/14    | TBD            | Complaint for personal injury damages re Fire Training Tower at SRJC                                                                                      | Active - Set for Trial 11/13/2015                                  |                           |
| <i>Jorge Quinones Nava v. City of Santa Rosa and Does 1-10</i>            | SCV-255826     | 07/29/14    | TBD            | Complaint for Personal Injuries                                                                                                                           | Active - Discovery                                                 |                           |
| <i>Lena Harter, et al. v. CSR</i>                                         | SCV-255401     | 09/04/14    | TBD            | Complaint for Survival/Wrongful Death; Negligence; Dangerous Condition of Public Property                                                                 | Active - Pleadings                                                 |                           |
| <i>The Tuxhorn Company, Inc., et al. v. City of Santa Rosa, , et al.</i>  | SCV 256192     | 10/13/14    | TBD            | Complaint for Restitution and Refunds of Unjustified Water and Wastewater Demand Fees; Declaratory and Injunctive Relief and Petition for Writ of Mandate | Active - City's Demurrer to Complaint Sustained w/o leave to amend |                           |
| <i>Bernard Norton, Jr. v. Santa Rosa Police Dept.; City of Santa Rosa</i> | C 14-4352 KAW  | 10/22/14    | \$700,000      | Complaint for violation of civil rights, excessive force, false arrest                                                                                    | Active - Set for Trial 01/26/16                                    |                           |

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| <i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i>    | SCV-256326     | 11/12/14    | TBD            | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street | Active - Motion for Receiver Pending |                           |
| <i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i> | SCV-256582     | 11/24/14    | TBD            | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street   | Active - Receiver Appointed          |                           |
| <i>CVSR Holdings, LLC v. City of Santa Rosa</i>                                    | SCV-256350     | 12/01/14    | \$466,854.05   | Breach of Contract                                                                                                   | Active - Set for Trial<br>11/06/2015 |                           |
| <i>Terry L. Weiss v. Santa Rosa Police Department</i>                              | SCV-256056     | 12/10/14    | TBD            | False arrest, unlawful detainment, violation of civil rights; negligence                                             | Active - Pleadings                   |                           |
| <i>Karen Borgfeldt v City of Santa Rosa</i>                                        | MCV-234350     | 12/18/14    | TBD            | Complaint for personal injury and negligence                                                                         | Active - Discovery                   |                           |
| <i>Charles Craft v. City of Santa Rosa</i>                                         | SCV-256524     | 01/27/15    | TBD            | Complaint for personal injury and negligence due to improperly secured wheelchair on city bus                        | Active - Discovery                   |                           |
| <i>Paul Bussard and Lynn Denley-Bussard v. the City of Santa Rosa, et al.</i>      | SCV-256670     | 01/30/15    | TBD            | Petition for Writ of Mandate                                                                                         | Active - Hearing set for 9/8/15      |                           |
| <i>Meritage Homes of California, Inc. v. City of Santa Rosa</i>                    | SCV-256454     | 01/13/15    | TBD            | Complaint for Breach of Contract and Unjust Enrichment                                                               | Active - Pleadings and Discovery     |                           |
| <i>Sheila Roff v. City of Santa Rosa; et al.</i>                                   | SCV-256656     | 03/11/15    | TBD            | Personal Injury - Trip and Fall on sidewalk                                                                          | Active - Pleadings                   |                           |
| <i>Mark Buras v. City of Santa Rosa; et al.</i>                                    | C 15-01070     | 03/12/15    | TBD            | Complaint for Civil Rights Violation and Damages                                                                     | Active - Discovery                   |                           |

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|-----------------------------------------------------------------------------------------------|-----------------|--------------------------------------|----------------|------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------|
| <i>Robert Fowler v. City of Santa Rosa; et al.</i>                                            | C 15-01182      | 03/16/15 on SRPD;<br>3/23/15 on City | TBD            | Complaint for false arrest, assault and battery; false imprisonment                                              | Active - Discovery<br>Set for Trial<br>06/13/2016    |                           |
| <i>Brian Kestler v. City of Santa Rosa, et al.</i>                                            | C 15-01361      | 03/27/15                             | TBD            | Complaint for Damages                                                                                            | Active - Discovery                                   |                           |
| <i>Jose Godoy, et al. v. City of Santa Rosa, et al.</i>                                       |                 | 03/31/15                             | TBD            | Complaint for Damages (Unreasonable Seizure, Unconstitutional, Battery, Negligence, & Violation of Civil Rights) | Active - Pleadings                                   |                           |
| <i>Riccardo Capachilli, et al. v. City of Santa Rosa, et al.</i>                              | SCV-256628      | 04/02/15                             | TBD            | Writ of Mandate to Compel Return of Seized Property and for other Relief                                         | Active - Pleadings                                   |                           |
| <i>David John Massie, et al. v. City of Santa Rosa, et al.</i>                                | SCV-257070      | 06/03/15                             | TBD            | Complaint for Personal Injury - roadway                                                                          | Active-Discovery                                     |                           |
| <i>Cecilia Jump v. City of Santa Rosa</i>                                                     | SCV-257003      | 06/02/15                             | TBD            | Complaint for Personal Injury - trip and fall                                                                    | Active-Discovery                                     |                           |
| <i>Seth McDonald v. City of Santa Rosa, et al.</i>                                            | SCV-256495      | 05/26/15                             | TBD            | Complaint for personal injury - motorcycle accident                                                              | Active - Pleadings                                   |                           |
| <i>City of Santa Rosa v. Hillcone Steamship Company, et al.</i>                               | SCV-257219      | 06/03/15                             | TBD            | Complaint to quiet title to Oakmont Treatment Plant property                                                     | Active - Pleadings                                   |                           |
| <i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i> | ED CV06-0055-GW |                                      | TBD            | Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims    | Active - Discovery and Phase 2 damages trial pending |                           |

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|-----------------------------------------------------|----------------|-------------|-----------------------------------------------------|-----------------------------------|----------------------------------------------|---------------------------|
| <i>California River Watch v. City of Santa Rosa</i> | 3:15– cv–02349 |             | \$37,500 per day, per violation and attorneys' fees | Federal Clean Water Act complaint | Active – ongoing discussions between parties |                           |

## EXHIBIT C

### NEW CLAIMS FILED IN SECOND QUARTER OF 2015

| Claimant Name        | Claim Amount | Date of Incident | Date of Claim | Location                                      | Description of Claim                                                                                                    |
|----------------------|--------------|------------------|---------------|-----------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Lempinen, Holly      | 11/21/2036   | 10/10/2014       | 4/9/2015      | Unknown                                       | Unreasonable Detention and Seizure of Property, infliction of emotional distress and violation of constitutional rights |
| Dowdney, Samuel      | TBD          | 11/5/2014        | 4/29/2015     | 3503 Barnes Road and 1826 Bella Vista Way, SR | Claims assault and battery, false imprisonment, emotional distress                                                      |
| Otero, Lynita        | TBD          | 10/29/2014       | 4/6/2015      | Near 950 North Russell St, SR                 | Fall - fractured left knee and abrasions to right arm                                                                   |
| Green, Rene          | TBD          | 11/6/2014        | 5/6/2015      | 3600 Westwind Blvd and Copperhill Pkwy, SR    | Trip and fall - fracture right wrist                                                                                    |
| Dumbrille, John D.   | 11/9/6006    | 2/2/2015         | 5/18/2015     | Corner of Humboldt Ave. and Seventh Street    | Trip and fall - fracture right leg                                                                                      |
| Brown, Kelly Michael | TBD          | 12/29/2013       | 6/5/2015      | Morgan Street & 6th Street                    | Equitable Indemnity and Apportionment of Fault (Seth McDonald lawsuit)                                                  |
| Rivera, Gloria       | TBD          | 5/12/2015        | 6/18/2015     | Santa Rosa City Bus Transit Mall              | Trip and fall entering bus                                                                                              |
| Shotwell, Sandra L.  | TBD          | 12/17/2014       | 6/17/2015     | SRMH and County Jail                          | Violation of Civil Rights                                                                                               |