

EXHIBIT A

SETTLEMENTS OVER \$50,000 FINALIZED IN THIRD QUARTER 2015

Case Name	Date Settled	Amount	Notes
None to Report			

## EXHIBIT B

### LITIGATION AS OF 9/30/2015

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>City of Santa Rosa v. Chamberlain-Nielsen Ranch, Ltd., et al.</i>	SCV 234406	03/17/04 & 03/18/04	in excess of \$25,000	Complaint on Performance Bond; Breach of Contract	Active - Settled but waiting for council approval	Notice of Settlement filed 7/29/15
<i>Raman D. Patel, et al. v. City of Santa Rosa, et al.</i>	C 06-3267		\$70 million	Federal Civil Rights filed against City after red light abatement actions against the Patels filed by City	Stayed until underlying proceedings against the Patel family are completed - no action by Patels	
<i>Evan Rones, et al. v. A-1 Septic Construction, Inc., City of Santa Rosa, et al.</i>	RG10696023	02/03/10	According to proof	Complaint for Damages, Restitution and Injunctive Relief (Failure to Pay Wages and Overtime)	Tender of Defense sent on 2/5/10 to A-1 Septic and Suretec	
<i>City of Santa Rosa v. Villages at Wild Oak Association, et al.</i>	SCV 248544	11/10/10		Complaint for Declaratory Relief; to Abate Violations of City Code and for Prescriptive Public Easement	Active - no Trial Date	
<i>Colleen Maguire v. City of Santa Rosa</i>	C11-02352 USDC	05/27/11	According to proof	ADA Complaint – wheelchair-bound plaintiff alleges fall due to inaccessible curb ramp	Active - Ongoing monitoring under Consent Order	Consent Order filed 3/6/13

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<i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i>	CV-11 5817 EDL	01/03/12	TBD	Class Action Complaint for Damages and Injunctive Relief	Active - Pleading stage, Class Action Certification Denied in Feb 2015. On Appeal	
<i>Citizens for Safe Neighborhoods v. City of Santa Rosa, et al.</i>	SCV-252028	07/25/12	TBD	Petition for Writ of Mandate	On Appeal - ruling in favor of City	
<i>April Wagner v. City of Santa Rosa, et al.</i>	SCV-252508	10/24/12	According to proof	Dangerous Condition	Active - Discovery	
<i>Daniel McGillicuddy v. City of Santa Rosa, et al.</i>	SCV-252694	11/28/12	Acc. To Proof	Dangerous Condition	Consolidated with Wagner	
<i>Taylor Dane v. The City of Santa Rosa, et al.</i>	SCV-253003	01/09/13	TBD	Declaratory and Injunctive Relief	On Appeal - ruling in favor of City	
<i>Earl Kennedy and Monique Yvonne Isom v. County of Sonoma, City of Santa Rosa, et al.</i>	SCV-252753	11/21/12	TBD	Negligence, Willful & Wanton Misconduct; Dangerous Condition of Public Property; Negligent Infliction of Emotional Distress	Active - Set for Trial 02/19/16	
<i>Mark Gregory v. MV Transportation, Inc., the City of Santa Rosa, et al.</i>	SCV-253341	03/25/13	TBD	Complaint for Damages; negligence	Tendered Defense	

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<i>Airport Business Center, et al. v. City of Santa Rosa</i>	SCV-253897	07/02/13	TBD	Petition for Peremptory Writ of Mandate and Complaint for Declaratory Relief	Settled - Settlement Agreement Signed (payment pending)	10/12/15
<i>Adam Bigham v. City of Santa Rosa, et al.</i>	SCV-253926	07/18/13	TBD	Complaint for Negligence re roadway design (auto v. bicycle fatality)	Active - Set for Trial 03/11/16	
<i>Ronald Norgrove v. City of Santa Rosa</i>	SCV-254717	12/12/13	TBD	Complaint for Personal Injury and Premises Liability	Active - Set for Trial 03/18/16	
<i>Samuel P. Siebert v. City of Santa Rosa, et al.</i>	SCV-252626		TBD	trip and fall	Tendered Defense	
<i>Charles T. Jensen, et al. v. City of Santa Rosa, et al.</i>	SCV255347	04/21/14	TBD	Petition for Writ of Mandate	On Appeal - ruling in favor of City	
<i>Kyle David Biedma, et al. City of Santa Rosa, et al.</i>	SCV-255382	05/23/14	TBD	Complaint for Negligence, False Imprisonment, Battery, Malicious Prosecution, Violation of Civil Rights	Active - Set for Trial 2/29/16	
<i>Ronald B. Pike v. City of Santa Rosa, et al.</i>	SCV-255591	06/12/14	TBD	Complaint for personal injury damages re Fire Training Tower at SRJC	Active - Set for Trial 11/13/2015	
<i>Jorge Quinones Nava v. City of Santa Rosa and Does 1-10</i>	SCV-255826	07/29/14	TBD	Complaint for Personal Injuries	Active - Discovery	
<i>Lena Harter, et al. v. CSR</i>	SCV-255401	09/04/14	TBD	Complaint for Survival/Wrongful Death; Negligence; Dangerous Condition of Public Property	Active - Pleadings	

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<i>The Tuxhorn Company, Inc., et al.v. City of Santa Rosa, , et al.</i>	SCV 256192	10/13/14	TBD	Complaint for Restitution and Refunds of Unjustified Water and Wastewater Deman Fees; Declaratory and Injunctive Relief and Petition for Writ of Mandate	Active - City's Demurrer to Complaint Sustained w/o leave to amend - Appeal Filed	
<i>Bernard Norton, Jr. v. Santa Rosa Police Dept.; City of Santa Rosa</i>	C 14-4352 KAW	10/22/14	\$700,000	Complaint for violation of civil rights, excessive force, false arrest	Active - Set for Trial 01/26/16	
<i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i>	SCV-256326	11/12/14	TBD	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street	Active - Receiver Appointed	
<i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i>	SCV-256582	11/24/14	TBD	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street	Active - Receiver Appointed	
<i>CVSR Holdings, LLC v. City of Santa Rosa</i>	SCV-256350	12/01/14	\$466,854.05	Breach of Contract	Active - Set for Trial 11/06/15 - Motion to Amend Complaint and to Continue Trial set for 10/18/15	
<i>Terry L. Weiss v. Santa Rosa Police Department</i>	SCV-256056	12/10/14	TBD	False arrest, unlawful detainment, violation of civil rights; negligence	Active - Pleadings	

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<i>Karen Borgfeldt v City of Santa Rosa</i>	MCV-234350	12/18/14	TBD	Complaint for personal injury and negligence	Active - Trial set 1/29/16 - Settlement Pending	
<i>Charles Craft v. City of Santa Rosa</i>	SCV-256524	01/27/15	TBD	Complaint for personal injury and negligence due to improperly secured wheelchair on city bus	Active - Discovery	
<i>Paul Bussard and Lynn Denley-Bussard v. the City of Santa Rosa, et al.</i>	SCV-256670	01/30/15	TBD	Petition for Writ of Mandate	Active - Hearing set for 12/1/15	
<i>Meritage Homes of California, Inc. v. City of Santa Rosa</i>	SCV-256454	01/13/15	TBD	Complaint for Breach of Contract and Unjust Enrichment	Active - Pleadings and Discovery	
<i>Sheila Roff v. City of Santa Rosa; et al.</i>	SCV-256656	03/11/15	TBD	Personal Injury - Trip and Fall on sidewalk	Active - Discovery	
<i>Mark Buras v. City of Santa Rosa; et al.</i>	C 15-01070	03/12/15	TBD	Complaint for Civil Rights Violation and Damages	Active - Discovery	
<i>Robert Fowler v. City of Santa Rosa; et al.</i>	C 15-01182	03/16/15 on SRPD; 3/23/15 on City	TBD	Complaint for false arrest, assault and battery; false imprisonment	Active - Discovery Set for Trial 06/13/2016	
<i>Brian Kestler v. City of Santa Rosa, et al.</i>	C 15-01361	03/27/15	TBD	Complaint for Damages	Active - Discovery	
<i>Jose Godoy, et al. v. City of Santa Rosa, et al.</i>		03/31/15	TBD	Complaint for Damages (Unreasonable Seizure, Unconstitutional, Battery, Negligence, & Violation of Civil Rights)	Active - Trial set 10/24/16	

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<i>Riccardo Capachilli, et al. v. City of Santa Rosa, et al.</i>	SCV-256628	04/02/15	TBD	Writ of Mandate to Compel Return of Seized Property and for other Relief	Active - Pleadings	
<i>David John Massie, et al. v. City of Santa Rosa, et al.</i>	SCV-257070	06/03/15	TBD	Complaint for Personal Injury - roadway	Tendered to Chrisp Co. 10/15	
<i>Cecilia Jump v. City of Santa Rosa</i>	SCV-257003	06/02/15	TBD	Complaint for Personal Injury - trip and fall	Active-Discovery	
<i>Seth McDonald v. City of Santa Rosa, et al.</i>	SCV-256495	05/26/15	TBD	Complaint for personal injury - motorcycle accident	Active - Pleadings	
<i>City of Santa Rosa v. Hillcone Steamship Company, et al.</i>	SCV-257219	06/03/15	TBD	Complaint to quiet title to Oakmont Treatment Plant property	Active - Pleadings	
<i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i>	ED CV06-0055-GW		TBD	Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims	Active - Discovery and Phase 2 damages trial pending	
<i>California River Watch v. City of Santa Rosa</i>	3:15– cv–02349		\$37,500 per day, per violation and attorneys' fees	Federal Clean Water Act complaint	Active – ongoing discussions between parties	
<i>Laurie Herrera v. Donald Hughes, City of Santa Rosa and Does 1 to 100</i>	SCV-256908	8/17/2015	TBD	Complaint for Personal injury and premises liability	Active - discovery phase	
<i>Department of Fair Employment and Housing, an agency of the State of California v. City of Santa Rosa; and Does One through Ten, inclusive, Thomas Draper, Real Party in Interest</i>	SCV-257379	8/24/2015	TBD	Complaint for Injunctive Relief and Compensatory Damagess	Demurrer set for Hearing	

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<i>Under Seal vs. Under Seal - Sacramento Superior Court</i>	34-2012-00127517			Sealed	Council authorized intervention into litigation.	



## EXHIBIT C

### NEW CLAIMS FILED IN THIRD QUARTER OF 2015

Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
Roche, Ellen	TBD	3/1/2015	8/5/2015	3001 Midway Drive	Trip and fall on uneven sidewalk
Moody, Alan	5/18/1927	1/21/2015	7/21/2015	Sutter Santa Rosa Regional Hosp, Mark West Springs Rd., Santa Rosa	Claims violation of civil rights, unlawful arrest and detention, emotional distress
Poggi, Carolyn	TBD	7/8/2015	7/22/2015	4th Street and Clyde Avenue	Claims she was riding her bike up a wheel chair access curb when her bike tire caught caught in a broken curb and caused her to fall.