

CITY OF SANTA ROSA
CITY COUNCIL

TO: MAYOR AND CITY COUNCIL
FROM: CHRIS CATBAGAN, ASSOCIATE CIVIL ENGINEER
TRANSPORTATION AND PUBLIC WORKS
SUBJECT: COOPERATIVE AGREEMENT BETWEEN THE STATE OF
CALIFORNIA AND THE CITY OF SANTA ROSA FOR THE
IMPROVEMENTS TO THE HEARN AVENUE INTERCHANGE AT
HIGHWAY 101
AGENDA ACTION: RESOLUTION

RECOMMENDATION

It is recommended by the Transportation and Public Works Department that Council, by Resolution, approve Cooperative Agreement No. 04-2600 between the State of California and the City of Santa Rosa for Independent Quality Assurance during the preparation of the project plans, specifications and estimate, right-of-way support, and right-of-way acquisition for the improvements to the Hearn Avenue Interchange at Highway 101.

EXECUTIVE SUMMARY

This proposed action will approve Cooperative Agreement No. 04-2600 between Caltrans and the City for Independent Quality Assurance (IQA) during the preparation of the project plans, specifications and estimate (PS&E), and right-of-way (R/W) support, and right-of-way acquisition for the improvements to the Hearn Avenue Interchange at Highway 101.

Under the Cooperative Agreement, the City will be the Lead Agency for the PS&E phase; however, Caltrans will be responsible for IQA, R/W support, and R/W acquisition. The City will be obligated to reimburse Caltrans the amount of \$1,271,000 for the work performed by Caltrans. In addition, the City will be expected to spend \$3,000,000 to complete their work for the PS&E phase for a total cost to the City of \$4,271,000.

This resolution supports Council Goal 3: Provide Leadership for Environmental Initiatives 1) Improve transportation network to reduce vehicle miles traveled and promote multi-modal transportation.

COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE
CITY OF SANTA ROSA FOR THE IMPROVEMENTS TO THE HEARN AVENUE
INTERCHANGE AT HIGHWAY 101
PAGE 2 OF 4

BACKGROUND

The City has an interest in widening the Hearn Avenue Interchange because the interchange's operations have been problematic with local and regional traffic circulation.

The replacement of the interchange will provide a much-needed improvement to the existing undersized overcrossing and will enhance pedestrian and bicycle access. The improvements will include four (4) travel lanes with Class II striped bike lanes and sidewalks on both sides of the bridge. In addition, the improvements will include adding provisions for a second right-turn lane from northbound Corby Avenue to Hearn Avenue to improve the US-101 southbound off-ramp congestion.

The City implemented a three-phase delivery schedule for the Hearn Avenue Interchange and has already completed construction required for the replacement of the bridge by undertaking work adjacent to the project site. Phase 1, the east side of the interchange has been widened along Santa Rosa Avenue. Phase 2, the west side of the interchange has been widened along Hearn Avenue. Phase 3 will be the reconstruction of the Hearn Avenue bridge over US-101.

In April 2012, the City and Caltrans entered into Cooperative Agreement No. 04-2348 to complete the Project Initiation Document (PID) phase of work required by Caltrans' project delivery process.

In February 2014, the City and Caltrans entered into Cooperative Agreement No. 04-2492 to perform the Project Approval and Environmental Document (PA&ED) phase of work. In January 2017, the City completed the PA&ED phase.

The project is ready to proceed to the design phase to produce construction plans. However, a cooperative agreement with Caltrans is required by Caltrans' project delivery process before proceeding.

This Cooperative Agreement defines the roles and responsibilities of the two parties for the PS&E and R/W phases. Most importantly, this Cooperative Agreement delegates the authority to the City to be the lead agency in the development of the PS&E work.

Caltrans will be the Implementing Agency for the R/W project component. This R/W project component consist of R/W support and capital acquisition. Caltrans will provide the work required to obtain all property interests for the project and funds for acquisition of property rights for the project. R/W support includes mapping, ground survey, R/W engineering, and closeout activities. R/W capital involves land acquisition and utility relocation.

COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE
CITY OF SANTA ROSA FOR THE IMPROVEMENTS TO THE HEARN AVENUE
INTERCHANGE AT HIGHWAY 101
PAGE 3 OF 4

The City will be sponsoring the PS&E and R/W work through funds from Sonoma County Transportation Authority (SCTA) Measure "M", and Capital Facility Fee Funds. Measure "M" will provide \$2,600,000 for the project. In addition, the Capital Facility Fee Fund has \$2,400,000 available in the Hearn Avenue project account.

The current estimated cost for the City is \$3,000,000 for the PS&E work and will be funded with the 2017/2018 fiscal year City Capital Improvement Program (CIP). In addition, the City will be obligated to reimburse Caltrans \$690,000 for R/W support and \$581,000 for R/W capital costs.

PRIOR CITY COUNCIL REVIEW

In August 2005, the City and Sonoma County Transportation Authority (SCTA) entered into Cooperative Agreement No. M30806-05 approving the cooperative funding agreement for Measure M funds for Hearn Avenue Improvements Phase I, Phase II and a portion of Phase III.

On April 3, 2012, the City and Caltrans entered into Cooperative Agreement No. 04-2348 to develop the Project Initiation Document (PID). The City Council approved this agreement by resolution No. 28069.

On February 25, 2014, the City and Caltrans entered into Cooperative Agreement No. 04-2492 to complete the Project Approval and Environmental Document (PA&ED) phase. The City Council approved this agreement by resolution No. 28433.

ANALYSIS

1. If the City enters into the Cooperative Agreement, then the project would utilize Caltrans resources to advance the project through the Design and R/W phases.
2. The Cooperative Agreement delegates the authority to the City to be the Lead Agency in the development of the Plans, Specifications, and Estimate (PS&E) for the project.
3. The Cooperative Agreement establishes Caltrans as the Implementing Agency for R/W support and acquisition.

FISCAL IMPACT

The Council has previously approved agreements with the Sonoma County Transportation Authority for Measure "M" funding for the PS&E and R/W phase of the project. The budget included in the Cooperative Agreement is \$1,271,000 for Caltrans'

COOPERATIVE AGREEMENT BETWEEN THE STATE OF CALIFORNIA AND THE
CITY OF SANTA ROSA FOR THE IMPROVEMENTS TO THE HEARN AVENUE
INTERCHANGE AT HIGHWAY 101
PAGE 4 OF 4

portion of work. The City will be expected to spend \$3,000,000 to complete their work for the PS&E phase.

Approval of this Cooperative Agreement will not impact the General Fund because there are sufficient funds for the PS&E and R/W phases. PS&E and R/W work will be funded with the 2017/2018 fiscal year City Capital Improvement Program (CIP).

ENVIRONMENTAL IMPACT

In December 2016, Caltrans approved a Negative Declaration pursuant to the requirements of the California Environmental Quality Act (CEQA).

In December 2016, Caltrans approved a Finding of No Significant Impact pursuant to the requirements of the National Environmental Policy Act (NEPA).

BOARD/COMMISSION/COMMITTEE REVIEW AND RECOMMENDATIONS

Not applicable.

NOTIFICATION

Not applicable.

ATTACHMENTS

- Resolution/ExhibitA

CONTACT

Chris Catbagan, Associate Engineer, ccatbagan@srcity.org, (707) 543-4521
David Montague, Supervising Engineer, dmontague@srcity.org, (707) 543-3860