

EXHIBIT A

SETTLEMENTS OVER \$50,000 FINALIZED IN SECOND QUARTER 2017

| Case Name | Date Settled | Amount | Description | Notes |
|--|--------------|-----------|------------------------|-------|
| <i>Brian Kestler v. City of Santa Rosa, et al.</i> | 5/11/17 | \$108,000 | Civil Rights Violation | |

EXHIBIT B

LITIGATION AS OF 7/31/2017

| Full Case Name | Court/Case No. | Date Served | Amount Claimed | Category | Type of Action/ Comments | STATUS | SETTLED OR DISMISSED DATE |
|--|-----------------------|-------------|----------------|---------------|---|---|---------------------------|
| <i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i> | CV-11 5817 EDL | 01/03/12 | TBD | Police Action | Class Action Complaint for Damages for police vehicle impoundments | Active - on Appeal in U.S. Appeal Court, Ninth Circuit from judgment against City and denial of class certification | |
| <i>Terry L. Weiss v. Santa Rosa Police Department</i> | SCV-256056 | 12/10/14 | TBD | Police Action | False arrest, unlawful detainment, violation of civil rights; negligence | Active - Discovery Underway. Set for Trial 02/12/2018 | |
| <i>Brian Kestler v. City of Santa Rosa, et al.</i> | C 15-01361 | 03/27/15 | TBD | Police Action | Complaint for Civil Rights Violation and Damages | Settled for \$108,000 | 05/11/17 |
| <i>Riccardo Capachilli, et al. v. City of Santa Rosa, et al.</i> | SCV-256628 | 04/02/15 | TBD | Police Action | Writ of Mandate to Compel Return of Seized Property; Certification of the Case as a class action and other relief | Active - Pleadings | |
| <i>Bryce Lemmons v. County of Sonoma, California Forensics Medical Group, Inc., City of Santa Rosa, et al.</i> | USDC C16-04553 WHO | 8/30/2016 | \$ 5 mil | Police Action | Complaint for Civil Rights Violation; Negligence | Dismissed | Dismissed 3/31/17 |
| <i>Damien Cesena v. City of Santa Rosa</i> | SCV-260297 | 3/10/2017 | TBD | Police Action | Complaint for Violation of 4th and 14th Amendments | Active - Pleadings | |

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| <i>Greg Corser v. Hank Schreeder, Michael Paetzold, Ian Benner, SRPD Ofc. Woods, M. Spediacci, Jeneane Kucker</i> | USDC CV 16-7135 | 5/4/2017 | 10/23/7375 | Police Action | Civil Rights Violation | Active - Pleadings | |
| <i>Daniel McGillicuddy v. State of California, County of Sonoma, City of Santa Rosa, et al.</i> | SCV-252694 | 11/28/12 | Acc. To Proof | Personal Injury/Dangerous Condition | Dangerous Condition | Settled on 3/20/17 for \$7,500; dismissal pending | Settled on 3/20/17; dismissed 2/21/17 |
| <i>Lena Harter, et al.v. CSR</i> | SCV-255401 | 09/04/14 | TBD | Personal Injury/Dangerous Condition | Complaint for Survival/Wrongful Death - Dangerous Condition | Active - Trial date set for 02/16/2018; mediation now set for October | |
| <i>Sheila Roff v. City of Santa Rosa; et al.</i> | SCV-256656 | 03/11/15 | TBD | Personal Injury/Dangerous Condition | Personal Injury - Trip and Fall on sidewalk | Voluntary Dismissal | Dismissal filed 4/3/17 |
| <i>John Dumbrille v. City of Santa Rosa and Does 1 to 20</i> | SCV-258098 | 3/14/2016 | Unknown | Personal Injury/Dangerous Condition | Complaint for Personal Injury and Property Damage; trip and fall | Active - Trial now set for December, 2017 | |
| <i>Julio Lemus Villa v. Benjamin Harold Scott, City of Santa Rosa, County of Sonoma, et al.</i> | SCV258682 | 5/31/2016 | Unknown | Personal Injury/Dangerous Condition | Complaint for Damages- Negligence and Dangerous Condition of Public Property (Highway 12) | Active / Demurrer in favor of CSR; case dismissed; appeal pending | |
| <i>Jason Ferry v. John Meteyer; City of Santa Rosa; et al.</i> | SCV-258219 | 6/7/2016 | Unknown | Personal Injury/Dangerous Condition | Complaint for personal injury; property damage (sideswipe by motorcycle officer) | Settled for \$3750 | Settled 04/17/2017 |

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| <i>Norman Greenbaum v. City of Santa Rosa, et al.</i> | SCV-258671 | 8/15/2016 | Over \$25K | Personal Injury/Dangerous Condition | Complaint for Damages Dangerous Condition of Public Property and Negligence | City of Santa Rosa Dismissed | Dismissed 04/03/2017 |
| <i>Tonya May v. City of Santa Rosa, Mark Avila Viera, Focus Real Estate, et al.</i> | SCV-258972 | 10/4/2016 | Medical \$10,332.92 Wages \$2,513.70 | Personal Injury/Dangerous Condition | Personal Injury; Trip and Fall - Dangerous Condition | Active - Pleadings | |
| <i>Robert J. Warrick, Jr. v. Dwight Reed, City of Santa Rosa, et al.</i> | SCV-259744 | 11/11/2016 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury (Motor Vehicle) - City Bus v. Motor Vehicle | Active - Discovery underway. Set for Trial 11/3/2017 | |
| <i>Sergio Hernandez, Sr. v. Novato Disposal Service, Inc.; North Bay Corporation; The Ratto Group of Companies Inc.; Redwood Empire Disposal, Sonoma County, Inc.; City of Santa Rosa; Jesus Albaviera Mendiola, et al.</i> | SCV-260326 | 3/27/2017 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury - Motor Vehicle and Negligence (Garbage Truck and Motor Vehicle) | Active - Pleadings; tendered defense | |
| <i>Veronica A. Smith v. City of Santa Rosa, Keith Alan Gorka and Does 1 to 25</i> | SCV-260202 | 3/27/2017 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury (Motor Vehicle) | Active pleadings; mediation set for 9/8/17 | |
| <i>Charles T. Jensen, et al. v. City of Santa Rosa, et al. (SAY)</i> | SCV255347 | 04/21/14 | TBD | Land Use | Petition for Writ of Mandate CEQA challenge to SAY Dream Center approval | Judgment for City; On Appeal, Awaiting Hearing Date. | |
| <i>Paul Bussard and Lynn Denley-Bussard v. the City of Santa Rosa, et al.</i> | SCV-256670 | 01/30/15 | TBD | Land Use | Petition for Writ of Mandate challenging the City's approval of Calistoga Cottages Subdivision | Judgment for City on 05/27/2016 ; On Appeal, Awaiting Hearing Date. | |

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| <i>City of Santa Rosa v. Hillcone Steamship Company, et al.</i> | SCV-257219 | 06/03/15 | TBD | Land Use | Complaint to quiet title to Oakmont Treatment Plant property | Active - Pleadings | |
| <i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i> | SCV-256326 | 11/12/14 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street | Active - Receiver Appointed 7/23/2015 | |
| <i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i> | SCV-256582 | 11/24/14 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street | Active - Receiver Appointed 6/16/2015 | |
| <i>People of the State of CA, City of Santa Rosa v. Estate of Charles Duncan, et al.</i> | SCV-261005 | 7/31/2017 | TBD | Code Enforcement/ Receivership | Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 6837 Oak Leaf Drive | Active - Mtn to Appt Receiver 9/13/17 | |
| <i>People of the State of California, City of Santa Rosa v. John Vincent; 550 Richmond Drive</i> | SCV-259072 | | | Code Enforcement/ Receivership | Complaint for Injunctive Relief under Drug Abatement Act of Nuisance and Other Relief | Judgment in favor of City. We await Court's ruling on post-trial attorney's fees/cost motion. | Judgment dated 04/20/2017 |
| <i>CVSR Holdings, LLC v. City of Santa Rosa, et al.</i> | SCV-256350 | 12/01/14 | \$466,854.05 | Other | Breach of Contract | Trial set for 09/15/2017 | |
| <i>Jamie Thomas Woods v. City of Santa Rosa</i> | CV 17-710 | 5/26/2017 | TBD | Other | Civil Rights Violation | Active | |
| <i>Timothy Aboudara, Jr, et al. on behalf of themselves and all similarly situated individuals v. City of Santa Rosa</i> | CV 17-01661 (HSG)) | 6/9/2017 | TBD | Other | Complaint for Violations of the Fair Labor Standards Act | Active - Pleadings; Trial Date Set for 03/26/2018 | |

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| <i>Wesco Insurance Company V. City of Santa Rosa</i> | MCV-242210 | 7/26/2017 | TBD | Other | Complaint for Money (Automobile Subrogation) Limited Action | Active - Pleadings | |
| <i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i> | ED CV06-0055-GW | | TBD | Other | Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims | Active - Discovery and Phase 2 damages trial pending | |
| <i>State of California, ex rel. Onthegowireless LLC v. Cellco Partnership, et al.</i> | Sacramento Superior Court Case No. 34-2012-00127517 | | | Other | Qui Tam action for excess phone charges | Active - Discovery Ongoing | |
| <i>Michael Bisagno and Irene Bisagno v. City of Santa Rosa and MOES 1-20</i> | SCV-259721 | | TBD | Other | Cross-complaint for Indemnity, Contribution, and Declaratory Relief | Dismissed | Dismissed 7/5/2017 |

EXHIBIT C

NEW CLAIMS REFERRED TO THE CITY ATTORNEY'S OFFICE IN SECOND QUARTER 2017

| Claimant Name | Claim Amount | Date of Incident | Date of Claim | Location | Description of Claim |
|------------------|-------------------|------------------|---------------|--|---|
| Dansing, River | TBD over \$25,000 | 11/4/2016 | 4/10/2017 | 521 7th Street (Parking Garage) | Claims attacked by homeless person and no City attendant in garage |
| Guldbeck, Lauren | 2,500 | 2/26/2017 | 4/13/2017 | Transit Mall | Claims she fell getting off of bus at transit mall |
| Riveras, August | Unknown | 4/1/2017 | 4/24/2017 | Safeway Fourth Street Bus Stop | Claims he fell on bus |
| Matthias, Deanna | over \$25,000 | 11/23/2016 | 5/4/2017 | Sidewalk near the S. East Street underpass in Santa Rosa | Claims she fell in a hole in the ground |
| Sawicki, Donna | 500,000 | 12/30/2016 | 5/12/2017 | On Bus #10 | Claims she was hurt when bus driver slammed on brakes |
| Jensen, Whitney | TBD | 5/18/2017 | 5/23/2017 | 7th and B Intersection | Claims she was hit by a city vehicle |
| Holcomb, Robert | 250,000 | 11/13/2016 | 6/12/2017 | "Santa Rosa" | Claims he was assaulted by police officer |
| Golden Tee HOA | 2,500 | 12/16/2016 | 6/14/2017 | 3000 Yulupa Ave. | Claims water damage from drainage from Bennett Valley Golf Course onto HOA properties |