

EXHIBIT A

SETTLEMENTS OVER \$50,000 FINALIZED IN THIRD & FOURTH QUARTER 2017

Case Name	Date Settled	Amount	Description	Notes
<i>CVSR Holdings, LLC v. City of Santa Rosa, et al.</i>	8/31/2017	\$295,000	Breach of Contract	
<i>Lena Harter, et al. v. City of Santa Rosa</i>	11/21/2017	\$2,000,000	Wrongful Death/Motorcycle	Settlement reached for \$2 million; awaiting court approval of minor's compromise.
<i>Veronica A. Smith v. City of Santa Rosa, Keith Alan Gorka and Does 1 to 25</i>	12/14/2017	\$217,500	Complaint for Personal Injury (Motor Vehicle)	

EXHIBIT B

LITIGATION AS OF JANUARY 25, 2018

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Category	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Taylor Dane v. The City of Santa Rosa, et al.</i>	SCV-253003	01/09/13	TBD	Police Action	Complaint for Declaratory and Injunctive Relief	Settled	Settled on 11/30/2017 - \$8,500; Dismissed 12/12/2017
<i>Charles T. Jensen, et al. v. City of Santa Rosa, et al. (SAY)</i>	SCV255347	04/21/14	TBD	Land Use	Petition for Writ of Mandate CEQA challenge to SAY Dream Center approval	Judgment for City; On Appeal, Awaiting Hearing Date.	
<i>Lena Harter, et al. v. CSR</i>	SCV-255401	09/04/14	TBD	Personal Injury/Dangerous Condition	Complaint for Survival/Wrongful Death - Dangerous Condition	Settlement reached for \$2 million; awaiting court approval of minor compromise	
<i>People of the State of CA, City of Santa Rosa v. Marie B. Tanner, et al.</i>	SCV-256326	11/12/14	TBD	Code Enforcement/ Receivership	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 653 Wheeler Street	Active - Receiver Appointed 7/23/2015	
<i>People of the State of CA, City of Santa Rosa v. Juan Martin Suarez, et al.</i>	SCV-256582	11/24/14	TBD	Code Enforcement/ Receivership	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 509 Olive Street	Active - Receiver Appointed 6/16/2015	
<i>CVSR Holdings, LLC v. City of Santa Rosa, et al.</i>	SCV-256350	12/01/14	\$466,854.05	Other	Breach of Contract	Settled	Settled 8/31/2017 - \$295,000; Dismissed

LITIGATION AS OF JANUARY 25, 2018

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Category	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Terry L. Weiss v. Santa Rosa Police Department</i>	SCV-256056	12/10/14	TBD	Police Action	False arrest, unlawful detainment, violation of civil rights; negligence	Active - Awaiting Court's decision on Motion to Determine Competency	
<i>Paul Bussard and Lynn Denley-Bussard v. the City of Santa Rosa, et al.</i>	SCV-256670	01/30/15	TBD	Land Use	Petition for Writ of Mandate challenging the City's approval of Calistoga Cottages Subdivision	Judgment for City on 05/27/2016 ; On Appeal - Hearing Date Set for 1/30/2018	
<i>Riccardo Capachilli, et al. v. City of Santa Rosa, et al.</i>	SCV-256628	04/02/15	TBD	Police Action	Writ of Mandate to Compel Return of Seized Property; Certification of the Case as a class action and other relief	Active - Pleadings	
<i>City of Santa Rosa v. Hillcone Steamship Company, et al.</i>	SCV-257219	06/03/15	TBD	Land Use	Complaint to quiet title to Oakmont Treatment Plant property	Active - Pleadings	
<i>John Dumbrille v. City of Santa Rosa and Does 1 to 20</i>	SCV-258098	3/14/2016	Unknown	Personal Injury/Dangerous Condition	Complaint for Personal Injury and Property Damage; trip and fall	Active - Trial set for 2/16/2018	
<i>Julio Lemus Villa v. Benjamin Harold Scott, City of Santa Rosa, County of Sonoma, et al.</i>	SCV258682	5/31/2016	Unknown	Personal Injury/Dangerous Condition	Complaint for Damages- Negligence and Dangerous Condition of Public Property (Highway 12)	Active / Demurrer in favor of CSR; case dismissed; appeal pending	
<i>Tonya May v. City of Santa Rosa, Mark Avila Viera, Focus Real Estate, et al.</i>	SCV-258972	10/4/2016	Medical \$10,332.92 Wages \$2,513.70	Personal Injury/Dangerous Condition	Personal Injury; Trip and Fall - Dangerous Condition	Dismissed	Dismissed 10/24/17

LITIGATION AS OF JANUARY 25, 2018

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Category	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>Robert J. Warrick, Jr. v. Dwight Reed, City of Santa Rosa, et al.</i>	SCV-259744	date Served	TBD	Personal Injury/Dangerous Condition	Complaint for Personal Injury (Motor Vehicle) - City Bus v. Motor Vehicle	Settled	Settled 09/19/2017 - \$35,000; Dismissed 10/26/2017
<i>Damien Cesena v. City of Santa Rosa</i>	SCV-260297	3/10/2017	TBD	Police Action	Complaint for Violation of 4th and 14th Amendments	Active - Pleadings	
<i>Sergio Hernandez, Sr. v. Novato Disposal Service, Inc.; North Bay Corporation; The Ratto Group of Companies Inc.; Redwood Empire Disposal, Sonoma County, Inc.; City of Santa Rosa; Jesus Albavieramendiola, et al.</i>	SCV-260326	3/27/2017	TBD	Personal Injury/Dangerous Condition	Complaint for Personal Injury - Motor Vehicle and Negligence (Garbage Truck and Motor Vehicle)	Active - Pleadings; tendered defense	
<i>Veronica A. Smith v. City of Santa Rosa, Keith Alan Gorka and Does 1 to 25</i>	SCV-260202	3/27/2017	TBD	Personal Injury/Dangerous Condition	Complaint for Personal Injury (Motor Vehicle)	Settled & Dismissed	Settled 12/14/2017 - \$217,500; Dismissed 1/4/2018
<i>Greg Corser v. Hank Schreeder, Michael Paetzold, Ian Benner, SRPD Ofc. Woods, M. Spediacci, Jeneane Kucker</i>	USDC CV 16-7135	5/4/2017	10/23/7375	Police Action	Civil Rights Violation	Dismissed	Dismissed 1/23/18 w/o prejudice
<i>Jamie Thomas Woods v. City of Santa Rosa</i>	CV 17-710	5/26/2017	TBD	Other	Civil Rights Violation	Settled for Waiver of Costs; Pending Dismissal	
<i>Timothy Aboudara, Jr, et al. on behalf of themselves and all similarly situated individuals v. City of Santa Rosa</i>	CV 17-01661 (HSG))	6/9/2017	TBD	Other	Complaint for Violations of the Fair Labor Standards Act	Active - Pleadings; Trial Date Set for 03/26/2018	
<i>Wesco Insurance Company V. City of Santa Rosa</i>	MCV-242210	7/26/2017	TBD	Other	Complaint for Money (Automobile Subrogation) Limited Action	Active - Pleadings	

LITIGATION AS OF JANUARY 25, 2018

Full Case Name	Court/Case No.	Date Served	Amount Claimed	Category	Type of Action/ Comments	STATUS	SETTLED OR DISMISSED DATE
<i>People of the State of CA, City of Santa Rosa v. Estate of Charles Duncan, et al.</i>	SCV-261005	7/31/2017	TBD	Code Enforcement/ Receivership	Complaint and Petition for Injunctive Relief, Abatement of Nuisance and Appointment of Receiver - 6837 Oak Leaf Drive	Active - Receiver Mark Adams Appointed	
<i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i>	ED CV06-0055-GW	N/A	TBD	Other	Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims	Active - Discovery and Phase 2 damages trial pending	
<i>State of California, ex rel. Onthegowireless LLC v. Cellco Partnership, et al.</i>	Sacramento Superior Court Case No. 34-2012-00127517	N/A - City is Plaintiff in Intervention	Unknown	Other	Qui Tam action for excess phone charges	Active - Discovery Ongoing	
<i>Donna Sawicki v. City of Santa Rosa</i>	SCV-261260	9/26/2017	TBD	Personal Injury/Dangerous Condition	Personal Injury - Bus	Active - Pleadings	
<i>Unite Here Local 2850 v. City of Santa Rosa, et al.</i>	CIV-173767	10/19/2017	TBD	Other	Verified Petition for Writ of Mandate	Tendered	
<i>Matthias, Deanna v. City of Santa Rosa</i>	SCV-261533	11/15/2017	TBD	Personal Injury/Dangerous Condition	Complaint for personal injury	Active - Pleadings	
<i>Christopher Carter v. Teresa Caratti, City of Santa Rosa, et al.</i>	SCV-261366	12/5/2017	\$440,000	Other	Complaint for fraud, negligent misrepresentation, rescission, breach of fiduciary duty, constructive fraud, breach of implied warranty, violation of Civil Code Sec. 1102 et seq. and negligence	Active - Demurrer filed	

EXHIBIT C

NEW CLAIMS REFERRED TO THE CITY ATTORNEY'S OFFICE IN THIRD & FOURTH QUARTER 2017

Claimant Name	Claim Amount	Date of Incident	Date of Claim	Location	Description of Claim
Hill, Maureen	TBD	1/27/2017	7/3/2017	923 Humboldt St.	Claims she fell on sidewalk
Dominguez, Veronica; Dominiquez, Carlos; and Dominiquez-Alcala, Carlos E.	1,000,000	2/7/2017	7/20/2017	West Steele Lane Intersection with Apple Valley Lane	Claims her mother died when hit by a car in the poorly lighted and maintained crosswalk
Marenco, Lynnette	2,500	1/26/2017	7/26/2017	Finley Park	Claims she tripped on raised sidewalk
Guldbach, Lauren	Unknown	2/26/2017	7/24/2017	Transit Mall	Claims she fell when getting off of a bus at the transit mall.
Gallardo, Mario	Unknown	7/27/2017	7/28/2017	Coddington Library	Claims his iPad was broken when he was misidentified as a suspect
Wolford, Su	675,000	11/19/2016	8/8/2017	Alley between A St. and Julliard Park Drive	Claims she fell in a pot hole and broke her ankle and wrist
Valencia, Juana	29,638	7/23/2017	8/28/2017	Finley Park	Claims her child was hurt when he fell from a play structure, as a result of an equipment failure.
Friedell, Laura	500,000	4/27/2017	10/3/2017	2090 W. Steele Lane	Claims he was hit by an unmarked police vehicle while lying on the ground.
Carter, Christopher	440,000	8/2/2017	10/3/2017	806/808 Spencer Ave	Claims property value reduced and other general and special damages
Solar, Deirdre	25,000+	5/17/2017	11/8/2017	Santa Rosa Middle School	Claims she fell into an unmarked hole where a sign post had previously been removed.
Looney, Gary	TBD	5/16/2017	11/15/2017	3845 Skyfarm Dr	Claims police came in to his home and violated multiple laws
Irvin, Anthony	5,000,000	4/3/2017	12/4/2017	Santa Rosa, CA	Claims wrongfully arrested for financial elder abuse
Estate of Pablo Garcia Toribio et al.	8,000,000	8/5/2017	12/18/2017	2400 Block West Steele Lane	Claims police shot and killed Pablo Garcia