



DISCLOSURE FORM

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| File No. | Quad. |
| Related Files | |
| DEPARTMENT USE ONLY | |

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| D I S C L O S U R E F O R M | Project Title: <u>Pound Barn village & updated.</u> (Include site address) | | | | | | | | | | | | | | | | | | | |
|--|--|---|------------|-------------------------------------|---|---------------------------------|---|---------------------------------------|--|-------------------------|---------------|--|--|--|--|--|--|--|--|--|
| | Please provide the name of each individual, partnership, corporation, LLC, or trust who has an interest in the proposed land use action. Include the names of all applicants, developers, property owners, and each person or entity that holds an option on the property. | | | | | | | | | | | | | | | | | | | |
| | Individuals: | Identify all individuals | | | | | | | | | | | | | | | | | | |
| | Partnerships: | Identify all general and limited partners | | | | | | | | | | | | | | | | | | |
| | Corporations: | Identify all shareholders owning 10% or more of the stock and all officers and directors (unless the corporation is listed on any major stock exchange, in which case only the identity of the exchange must be listed. | | | | | | | | | | | | | | | | | | |
| | LLCs: | Identify all members, managers, partners, officers and directors. | | | | | | | | | | | | | | | | | | |
| | Trusts: | Identify all trustees and beneficiaries. | | | | | | | | | | | | | | | | | | |
| | Option Holders: | Identify all holders of options on the real property. | | | | | | | | | | | | | | | | | | |
| | <table border="1"><thead><tr><th>Full Name:</th><th>Address:</th></tr></thead><tbody><tr><td><u>Applicant:</u></td><td></td></tr><tr><td><u>City Ventures LLC</u></td><td><u>3121 Michelson Drive Suite 150</u></td></tr><tr><td><u>(see attached)</u></td><td><u>Irvine, CA 92612</u></td></tr><tr><td><u>owner:</u></td><td></td></tr><tr><td><u>Arterial Vascular Engineering, Inc., publicly traded as 'Medtronic PLC'</u></td><td></td></tr><tr><td></td><td></td></tr><tr><td></td><td></td></tr><tr><td></td><td></td></tr></tbody></table> | | Full Name: | Address: | <u>Applicant:</u> | | <u>City Ventures LLC</u> | <u>3121 Michelson Drive Suite 150</u> | <u>(see attached)</u> | <u>Irvine, CA 92612</u> | <u>owner:</u> | | <u>Arterial Vascular Engineering, Inc., publicly traded as 'Medtronic PLC'</u> | | | | | | | |
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| <u>(see attached)</u> | <u>Irvine, CA 92612</u> | | | | | | | | | | | | | | | | | | | |
| <u>owner:</u> | | | | | | | | | | | | | | | | | | | | |
| <u>Arterial Vascular Engineering, Inc., publicly traded as 'Medtronic PLC'</u> | | | | | | | | | | | | | | | | | | | | |
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| In addition, please identify the name of each civil engineer, architect, and consultant for the project. | | | | | | | | | | | | | | | | | | | | |
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Additional names and addresses attached: ☒ Yes ☐ No

| | |
|---|---------------------------|
| The above information shall be promptly updated by the applicant to reflect any change that occurs prior to final action. | |
| I certify that the above information is true and correct: <u>C. Wagner</u> Applicant | <u>11.30.2015</u> Date |

EXECUTION VERSION

Amended and Restated Operating Agreement

of

City Ventures, LLC,
a Delaware limited liability company

June 29, 2010

SCHEDULE A

Series A Units and Capital Contributions

| Members | Series A-1 Units Held Prior to Effective Date | Capital Account in Respect of Series A-1 Units Held on Effective Date Immediately after Closing | Series A-1 Units to be Issued on Secondary Investment Date | Capital Contributions to be Made on Secondary Investment Date in respect of Series A-1 Units | Series A-1 Units to be Issued on Tertiary Investment Date | Capital Contributions to be Made on Tertiary Investment Date in respect of Series A-1 Units |
|--|---|---|--|--|---|---|
| 1. Craig Atkins | ■ | ■ | ■ | ■ | ■ | ■ |
| 2. R. Mark Buckland | ■ | ■ | ■ | ■ | ■ | ■ |
| 3. The Buckland Family Trust | ■ | ■ | ■ | ■ | ■ | ■ |
| 4. Imperial Capital Private Opportunities, L.P. | ■ | ■ | ■ | ■ | ■ | ■ |
| 5. IMPC Holdings, LLC | ■ | ■ | ■ | ■ | ■ | ■ |
| 6. Imperial Capital Asset Management, LLC | ■ 0 | ■ 0 | ■ | ■ | ■ | ■ |
| 7. Pacific Coast Investment Trust, dated November 19, 2004, as amended November 27, 2006 | ■ | ■ | ■ | ■ | ■ | ■ |
| 8. ACOF III CV AIV (Direct), L.P. | ■ | ■ | ■ | ■ | ■ | ■ |
| 9. ACOF III CV AIV (Delaware), L.P. | ■ | ■ | ■ | ■ | ■ | ■ |
| Total | ■ | ■ | ■ | ■ | ■ | ■ |

Imperial Capital
See:
www.imperialcapital.com

Craig Atkins →

Aves Capital

See:
www.avescapital.com

Mark Buckland →