



DISCLOSURE FORM

Please Type or Print

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DEPARTMENT USE ONLY	

www.srcity.org

Project Title: **Round Barn Village (0 Round Barn Boulevard)**
(Include site address)

Please provide the name of each individual, partnership, corporation, LLC, or trust who has an interest in the proposed land use action. Include the names of all applicants, developers, property owners, and each person or entity that holds an option on the property.

Individuals: Identify all individuals
Partnerships: Identify all general and limited partners
Corporations: Identify all shareholders owning 10% or more of the stock and all officers and directors (unless the corporation is listed on any major stock exchange, in which case only the identity of the exchange must be listed.
LLCs: Identify all members, managers, partners, officers and directors.
Trusts: Identify all trustees and beneficiaries.
Option Holders: Identify all holders of options on the real property.

Full Name:	Address:
City Ventures LLC (applicant)	3121 Michelson Drive Suite 150 Irvine, CA 92691 (see attached for identification of members, etc)
Arterial Vascular Industries (property owner)	710 Medtronic Parkway LS 120 Minneapolis, MN 55432 (subsidiary of Medtronic, Inc, a publicly traded company, listed on stock exchange)

In addition, please identify the name of each civil engineer, architect, and consultant for the project.

Full Name:	Address:
William Hezmalhalch Architects	5000 Executive Parkway #375 San Ramon, CA 94583
C&V Consulting (civil)	6 Orchard Suite 200 Lake Forest, CA 92630
C2Collaborative (landscape)	416 N. El Camino Real San Clemente, CA 92672
AT Design Consulting, Inc. (color)	2211 Michelson Dr., Suite 450 Irvine, CA 92612
John Meserve (arborist)	PO Box 1261 Glen Ellen, CA 95442

Additional names and addresses attached: ☐ Yes ☒ No

The above information shall be promptly updated by the applicant to reflect any change that occurs prior to final action.

I certify that the above information is true and correct:

M. Wagner
Applicant

10.31.18
Date

EXECUTION VERSION

Amended and Restated Operating Agreement

of

City Ventures, LLC,
a Delaware limited liability company

June 29, 2010

SCHEDULE A

Series A Units and Capital Contributions

Members	Series A-1 Units Held Prior to Effective Date	Capital Account in Respect of Series A-1 Units Held on Effective Date Immediately after Closing	Series A-1 Units to be Issued on Effective Date	Capital Contributions to be Made on Effective Date in respect of Series A-1 Units	Series A-1 Units to be Issued on Secondary Investment Date	Capital Contributions to be Made on Secondary Investment Date in respect of Series A-1 Units	Series A-1 Units to be Issued on Tertiary Investment Date	Capital Contributions to be Made on Tertiary Investment Date in respect of Series A-1 Units
1. Craig Atkins								
2. R. Mark Buckland								
3. The Buckland Family Trust								
4. Imperial Capital Private Opportunities, L.P.								
5. IMPC Holdings, LLC								
6. Imperial Capital Asset Management, LLC	0	0						
7. Pacific Coast Investment Trust, dated November 19, 2004, as amended November 27, 2006								
8. ACOF III CV AIV (Direct), L.P.								
9. ACOF III CV AIV (Delaware), L.P.								
Total								

Schedule A - 1

Charity Wagner

From: Kunz, Erik <erik.kunz@medtronic.com>
Sent: Thursday, November 30, 2017 2:09 PM
To: Charity Wagner
Subject: RE: Disclosure Form Attached

Hi Charity... Here you go:

- Arterial Vascular Engineering, Inc. is the former name of Medtronic Vascular, Inc.
- Medtronic Vascular, Inc. is owned by Medtronic, Inc. which is no longer publicly traded.
- Medtronic, Inc. is a wholly owned subsidiary of Medtronic, PLC which is a publicly traded company.

Also... I have approval to make a brief comment at the meeting if it's necessary. Our gov't affairs person has also put a call into Jack Tibbetts in support of the development.

Thanks,

Erik

This message has been marked as Medtronic Controlled

From: Charity Wagner [mailto:charity@cityventures.com]
Sent: Thursday, November 30, 2017 12:37 PM
To: Kunz, Erik <erik.kunz@medtronic.com>
Subject: [EXTERNAL] Fwd: Disclosure Form Attached

Hi Erik - the name on title is arterial vascular engineering, inc a Delaware corporation.

The city is asking for all shareholder owning 10 percent or more of stock and all officers and directors - UNLESS the Corp is traded on any major stock exchange in which case, they only need the identity of the exchange.

I've included an excerpt from title and the doc from the city.

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