

EXHIBIT A

SETTLEMENTS OVER \$50,000 FINALIZED IN THE SECOND QUARTER 2019

| Case Name | Date Settled | Amount | Description | Notes |
|--|--------------|--------------|--|---|
| <i>Timothy Aboudara, Jr, et al. on behalf of themselves and all similarly situated individuals v. City of Santa Rosa</i> | 5/6/2019 | \$976,672.28 | payment of unpaid overtime compensation and liquidated damages | \$739,172.28 paid to plaintiffs for qualifying overtime pay and liquidated damages \$237,500 in attorneys's fees and costs |
| <i>Laure Ann Nicole Friedell v. City of Santa Rosa, et al.</i> | 7/11/2019 | \$260,000.00 | Personal Injury - Auto (hit by police car in parking lot) | This settlement was finalized in the third quarter of 2019. |
| <i>BoDean Company v. The City of Santa Rosa, The Santa Rosa City Council, Sean McGlynn in his capacity as City Manager, Michael J. Reynolds in his capacity as Code Enforcement Officer, David Guhin in his capacity as Assistant City Manager and David Gouin in his capacity as Director of Housing and Community Services</i> | 7/25/2019 | non-monetary | Writ of Mandate, Writ of Prohibition & Complaint | This settlement was finalized in the third quarter of 2019. |

EXHIBIT B

LITIGATION AS OF AUGUST 8, 2019

| Full Case Name | Court/Case No. | Date Served | Amount Claimed | Category | Type of Action/ Comments | STATUS | SETTLED OR DISMISSED DATE |
|---|-------------------|-------------|------------------|--------------------------------|---|--|---|
| <i>People of the State of CA; City of Santa Rosa v. Craig Torango, Citimortgage, Inc. and Does 1-10</i> | SCV-263234 | 09/27/18 | Receivership | Code Enforcement/ Receivership | Complaint for Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief | Active - Property sold and receiver will soon be discharged. | |
| <i>People of the State of CA; City of Santa Rosa v. Juan V. Rodriguez, on behalf of the Juan V. Rodriguez Trust; Quality Loan Service Corporation; New Residential Mortgage Loan Trust and DOES 3-10</i> | SCV-264113 | 03/14/19 | Receivership | Code Enforcement/ Receivership | Complaint for Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief | Dismissed | Dismissed 7/9/2019 |
| <i>Brendan Dean dba Junk in the Box vs. City of Santa Rosa</i> | MCV-249001 | 05/20/19 | Code Enforcement | Code Enforcement/ Receivership | Appeal of Admin Fine or Penalty Imposed by City of Santa Rosa | Active - Notice of Entry of Judgment filed granting appeal. | |
| <i>People of the State of CA; City of Santa Rosa v. Deborah J. O'Connor, as Trustee of the O'Connor Irrevocable Trust</i> | SCV-264904 | 08/05/19 | Receivership | Code Enforcement/ Receivership | Complaint for Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief | Active - Pleadings | |
| <i>Timothy Aboudara, Jr, et al. on behalf of themselves and all similarly situated individuals v. City of Santa Rosa</i> | CV 17-01661 (HSG) | 6/9/2017 | TBD | Other | Complaint for Violations of the Fair Labor Standards Act | Settled - \$976,672.28 | Settled 5/6/2019 (date approved by Court) |
| <i>Deborah Drake, Samantha Jenkins, Nicholle Vannucci, Steven Robert Singleton, and Ellen Brown, individuals; and Homeless Action!, an unincorporated association v. County of Sonoma, Sonoma County Community Development Commission, City of Santa Rosa, and Does I to XX</i> | 3:18-CV-01955-VC | 4/2/2018 | TBD | Other | Complaint for Declaratory Relief and Injunctive Relief (homeless encampments) | Active - preliminary Injunction entered; litigation stayed from 8/12/19 through 6/7/20 | |

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| <i>David Pelayo, Roberto Hernandez, Edmond Andre, Bryan Munoz, and Brian Medeiros, each as an individual, and on behalf of the general public for all those similarly situated v Utility Partners of America, LLC, a Delaware corporaion; City of Santa Rosa and DOES 1 through 250, inclusive.</i> | SCV-264530 | 06/05/19 | Exceeds \$25K | Other | Failure to pay wages, compensation, etc. for Public Works | Active - Pleadings. Tendered to vendor for defense. | |
| <i>Pace Supply Corp. v Northern Pacific Corporation; City of Santa Rosa; Fidelity and Deposit Company of Maryland; and DOES 1-20, Inclusive</i> | SCV-264605 | 06/13/19 | Exceeds \$25K | Other | Enforcement of Stop Notice; Implied/Equitable Indemnity | | |
| <i>Shane Hilkey v. CSR</i> | MSC-189921 | 07/15/19 | \$10,000 | Other | Claimant alleges that City changed zoning, which resulted in incurred costs. | Small claims trial set for 8/12/19. | |
| <i>Bobby James Hubbard et al v. City of Santa Rosa, and DOES 1-25</i> | SCV-263492 | 11/14/2018 | TBD | Personal Injury | Complaint for damages; tripped and fell on public sidewalk causing injury; negligence. | Active - Discovery Ongoing; Trial set for 12/6/19 | |
| <i>Adrian Madrigal, a minor, through his guardian ad litem, Karissa Milazo v. City of Santa Rosa and DOES 1 through 20</i> ----- <i>Cross-Complaint: City of Santa Rosa vs. Debi Wagner and "CYO Basketball"</i> | SCV-263628 | 12/17/2018 | TBD | Personal Injury | Complaint for personal injury; General Negligence and Infliction of Emotional Distress; City filed Cross-Complaint vs. Wagner for indemnity | Active - Discovery (City tendered defense to Insured Wagner HOA. City has no exposure.) | |
| <i>Sergio Hernandez, Sr. v. Novato Disposal Service, Inc.; North Bay Corporation; The Ratto Group of Companies Inc.; Redwood Empire Disposal, Sonoma County, Inc.; City of Santa Rosa; Jesus Albavieramendiola, et al.</i> | SCV-260326 | 3/27/2017 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury - Motor Vehicle and Negligence (Garbage Truck and Motor Vehicle) | City Dismissed with prejudice on 4/9/2018. (CSR tender of defense accepted.) | Dismissed 4/9/2018 |

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| <i>Su Wolfard v. City of Santa Rosa; and DOES 1-25</i> | SCV-262044 | 3/5/2018 | TBD | Personal Injury/Dangerous Condition | Personal Injury - Claims she fell in pothole and broke ankle & wrist | Active - Trial set for 04/24/2020 (CSR tender to WinterBlast insurer accepted. CSR has no monetary exposure.) | |
| <i>Laure Ann Nicole Friedell v. Michael Quinto Spediacci III, and Does 1 through 20</i> | SCV-262164 | 5/7/2018 | According to Proof | Personal Injury/Dangerous Condition | Personal Injury - Auto (hit by police car in parking lot) | Settled - \$260,000 | Settled 7/11/2019 |
| <i>Deirdre Solar v. City of Santa Rosa and Santa Rosa City Schools; Does 1-20</i> | SCV-262527 | 6/4/2018 | TBD | Personal Injury/Dangerous Condition | Complaint for Personal Injury; General Negligence and Premises Liability | Active - Trial set for 11/1/2019; Motion for Summary Judgment set for 9/27/19 | |
| <i>Rebekah Wood v. County of Sonoma; City of Santa Rosa and Does 2-100, Inclusive</i> | SCV-263778 | 04/30/19 | According to Proof | Personal Injury/Dangerous Condition | Personal Injury | Dismissed | Dismissed 5/31/2019 |
| <i>Jonathan Roope v City of Santa Rosa, and DOES 1 through 50</i> | SCV-264473 | 06/10/19 | Exceeds \$25K | Personal Injury/Dangerous Condition | Foot hit a steel bracket protruding from sidewalk and fell causing injuries | Active - Discovery | |

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| <i>Rafael Mateos-Sandoval and Simeon Avendano Ruiz v. County of Sonoma, City of Santa Rosa, et al.</i> | CV-11 5817 EDL | 01/03/12 | TBD | Police Action | Class Action Complaint for Damages for police vehicle impoundments | Active - Appellate Court recently affirmed trial court finding a Constitutional violation, but also affirming trial court denial of class certification. Parties now considering further appellate review | |
| <i>Robert Casey, an individual, v. City of Santa Rosa; and DOES 1-50, inclusive</i> | 4:18-cv-07731 KAW | 1/14/2019 | TBD | Police Action | Complaint for damages; excessive force | Active - Pleadings (Stayed pending outcome of Motion to Suppress) | |
| <i>Meyer, Tessala Dakota v. City of Santa Rosa, Does 1-20</i> | SCV-263791 | 1/25/2019 | TBD | Police Action | Alleges police officer hit her with motorcycle | Dismissed | Dismissed 6/25/19 |
| <i>Andy Ford v. City of Santa Rosa, City of Petaluma, John Whitten, John Silva, Justin Farrington, Thomas Walsh, David Lamb, Brenda Harrington, Steven Pehlke, Matthey Rooney, David Linscomb, Summer black, Robert Moore, David Marconi, Ronald Nelson, and DOES 1-25, Inclusive</i> | 3:19-cv-02741 WHA | 05/29/19 | According to Proof | Police Action | ADA/RA - Wrongful Arrest, 4th Amendment Wrongful/False Arrest, and 4th Amendment Malicious Prosecution | Active - Pleadings | |

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| <i>Debra Giambastiani v City of Santa Rosa Santa Rosa Chief of Police Robert Schreeder, Santa Rosa Officer Timothy Gooler, Santa Rosa Officer Tyler Laron, Santa Rosa Officer Christopher Mahurin, Santa Rosa Officer Kenneth Ferrigno, Santa Rosa employees DOES 1-25, County of Sonoma, Sonoma County Sheriff Mark Essick, Sonoma County employees DOES 26-50, Jointly and Severally</i> | 3:19-cv-02450-VC | 06/17/19 | According to Proof | Police Action | Civil rights violation; malicious prosecution; false arrest and imprisonment; assault and battery; negligence; invasion of privacy; intentional infliction of emotional distress | Active - Pleadings. Plaintiff filed a First Amended Complaint alleging violation of federal constitutional and state statutes. | |
| <i>United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.</i> | ED CV06-0055-GW | N/A | TBD | Qui Tam | Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims | Active - Discovery and Phase 2 damages; trial date pending | |
| <i>State of California, ex rel. Onthegowireless LLC v. Cellco Partnership, et al.</i> | Sacramento Superior Court Case No. 34-2012-00127517 | N/A - City is Plaintiff in Intervention | Unknown | Qui Tam | Qui Tam action for excess phone charges | Active - Discovery Ongoing; Trial set for 12/02/2019 (Trial being rescheduled to March 2020) | Claims versus T-Mobile & Sprint being settled. Claims versus Verizon & ATT proceeding to Trial. |
| <i>BoDean Company v. The City of Santa Rosa, The Santa Rosa City Council, Sean McGlynn in his capacity as City Manager, Michael J. Reynolds in his capacity as Code Enforcement Officer, David Guhin in his capacity as Assistant City Manager and David Gouin in his capacity as Director of Housing and Community Services</i> | SCV-262502 | 5/24/2018 | | Writ of Mandate | Writ of Mandate, Writ of Prohibition & Complaint | Settled - non-monetary provisions | Settled 7/25/2019 |

EXHIBIT C

NEW CLAIMS REFERRED TO THE CITY ATTORNEY'S OFFICE IN SECOND QUARTER 2019

| Claimant Name | Date of Claim | Date of Incident | Claim Amount | Location | Description of Claim |
|------------------------------|---------------|------------------|----------------|--|--|
| Lopez, Leopoldo Castillo | 3/28/2019 | 10/4/2018 | over \$25,0000 | Moorland Ave & Todd Ave | Claimant was struck in crosswalk |
| Davies, Thomas; Putnam, Jill | 4/1/2019 | 10/23/2018 | over \$10,000 | Intersection of Stony Pt. Road and Occidental Road | Claimants' daughter, Jennell Davies, was struck and killed crossing Stony Pt./Occidental Rd. |
| Beck, Elizabeth | 5/29/2019 | 5/12/2019 | over \$25,0000 | Sidewalk near 509 Adams Street | Claimant tripped and fell on uneven sidewalk |