SETTLEMENTS OVER \$50,000 FINALIZED IN SEPTEMBER 2021

Case Name	Date Settled	Amount	Description	Notes
Terracon Constructors, Inc., a California	9/28/2021	\$395,000.00	Allegation of Breach of Contract	Pending final settlement agreement and
corporation v. City of Santa Rosa, a			arising out of public works	dismissal pending.
charter city; and DOES 1-50 fictitiously			construction project	
named parties				

LITIGATION AS OF OCTOBER 4, 2021

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Case Number	Full Case Name	Status	Category	Case Description	Case Notes	Amount Claimed	Dismissed Date	Settled Date	Settlement Amount								
CODE ENFC	RCEMENT																
SCV-264904	People/City v. Deborah J. O'Connor, as Trustee of the O'Connor Irrevocable Trust	Active	Code Enforcement/ Receivership	Complaint and Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief	Receiver has been appointed and remains in place. Propery sold on 6/9/2021. On 9/15/2021 Court held hearing on Attorneys' Fees. Following hearing, Court approved City's Motion for Attorney's Fees/Costs totaling \$12,857.25.	Unlimited											
MCV-252325	People of the State of California, City of Santa Rosa v. The Testate and Interstate Successors of David L. Mosher and Rosemary Mosher, Deceased, and all persons Claiming by, through, or under such Decedents any Legal or Equitable Right, Title, Estate, Lien, Interest in Real Property Commonly Known as 3073 Lamberson Court, and DOES 1-25	Active - Pleadings	Code Enforcement/Receivership	Complaint and Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief	Receiver has been appointed. Receiver has a contractor on site rehabilitating the Mosher property; 8/3/2021 Order or Court authorizing sale of property; Case Management Conference scheduled for 11/16/2021.	2											
SCV-265933	People/City v. Davis Properties, A General Partnership, American Savings Bank, Does 1-10		Code Enforcement/Receivership	Complaint and Petition for injunctive Relief, Abatement of Nuisance, Appointment of Receiver and other equitable relief. Lead based paint throughout home caused lead poisoning in a child. Home is currently abandoned, with the exception of occasional transients.	Receiver has been appointed and plan for rehabilitation has been approved by the Court and County Environmental Services. Remediation work has begun.												

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SCV-268150	People of the State of California, City of Santa Rosa v. David Alan Vicini, and DOES 1-10	Active - Pleadings	Code Enforcement/Receivership	Complaint for injunctive and other relief (Drug Abatement Act)	Request for Entry of Default filed on 5/26/2021; Case Management Conference held on 9/14/2021; Hearing re default held on 9/21/2021. On 9/21/21 Court granted City's application to close Vicini's residential drug house. City now working on clean up/sale of property.						
SCV-268254	People of the State of California, City of Santa Rosa v. The Testate and Intestate Successors of Dorothy E. Brown, deceased, and all persons claiming by, through, or under, such decedent any right, title, estate, lien, or interest in real property commonly known as 4034 Lancaster Avenue, Santa Rosa, and DOES 1-10	Active - Pleadings	Code Enforcement/Receivership	Complaint and petition for injunctive relief, abatement of nuisance, appointment of receiver and other equitable relief	CMC scheduled for 11/18/21.						
GENERAL L	ITIGATION										
	David C. Rosas v. City of Santa Rosa, et al	Active	General Litigation – ADA	Complaint of disability discrimination in violation of ADA, California Unruh Act, Malicious Prosecution Rico Act, Second Amendment, Fourteenth Amendment							
SCV-265333	Bay Cities Paving & Grading, Inc., a California corporation v. City of Santa Rosa, a body politic, and Does 1-50	Active - Discovery	General Litigation – Breach of Contract	Allegation of Breach of Contract arising out of public works construction project	Preliminary discovery underway; possible mediation; Case Management Conference scheduled for 10/28/2021.						
SCV-266987	Terracon Constructors, Inc., a California corporation v. City of Santa Rosa, a charter city; and DOES 1-50 fictitiously named parties	Settled	General Litigation – Breach of Contract	Allegation of Breach of Contract arising out of public works construction project	Mediation held 9/13/21 though JAMS; matter settled for \$395,000 on 9/28/2021; pending final settlement agreement and dismissal.	\$688,035.00		9/28/2021	\$395,000.00		

Case Notes Case Number Full Case Name Status Category Case Description SCV-265711 William P. Gallaher, an individual v City of Active On 5/10/20 General Litigation – CEQA Petition for Writ of Mandate – CEQA (challenge to the City's All entered juc Santa Rosa, City Council of the City of Santa Rosa, and DOES 1 through 25, Electric Reach Code) upholding inclusive Ordinance petitioner appeal the Appeal, First record on a appellant's 10/22/202 Airport Business Center, a California General Litigation – CEQA Stipulation SCV-267372 Active Petition for Writ of Mandate *limited partnership, and Blue Fox Partners,* CEQA (challenge to the City's administra A California general partnership v. City of **Downtown Station Area Specific** was filed w Santa Rosa, City Council of the City of Manageme Plan) Santa Rosa, and DOES 1 through 25, 9/9/2021, inclusive on 11/18/2 Preliminary 3:18-CV-01955 Nicholle Vannucci, Ellen Brown, and General Litigation -Complaint for Declaratory Relief Stayed VC Shannon Hall, individuals; and Homeless Constitutional and ADA and Injunctive Relief (homeless stayed fron 12/31/2022 Action!, an unincorporated association v. encampments) County of Sonoma, Sonoma County conference Community Development Commission, on 11/6/20 City of Santa Rosa, and Does I to XX Bonnie Kessner, et al. v. City of Santa General Litigation – Prop Complaint for damages and 20-CV364054 Active -Discovery s equitable relief: Petition for Writ of on demurre 218 – Water Rates Clara, et al. (City of Santa Rosa - Linda Pleadings and jurisdie Johnson & Robert Reynoso) Mandate under Prop 218, Demurrers challenging City's water rates were denie Demurrers granted wit plaintiffs fil 6/23/2021 demurrers of standing and venue 7/23/2021 scheduled hearing, De on additior strike, and schedule fo Manageme 1/20/2022.

LITIGATION AS OF OCTOBER 4, 2021

25	Amount Claimed	Dismissed Date	Settled Date	Settlement Amount
2021, the Superior Court adgment in favor of City, the City's All Electric Reach in full. On 6/21/2021, filed a notice of intent to e decision to the Court of rst Appellate District. The appeal was filed, and s opening brief is due 21.				
n extending time to prepare ative record to 10/18/2021 with the Court; Case ent Conference was held court ordered follow up CMC 2021. Settlement pending.				
ry Injunction entered; litigation m 8/12/2019 through 21. Upcoming settlement e before Magistrate Sallie Kim 021.				
stayed pending court decision rers regarding venue, joinder, iction and other defenses. s on administrative exhaustion ed after a hearing in May. s based on misjoinder were with leave to amend, and filed an amended complaint on 1. A second phase of s asserting issues including lack g, failure to pay under protest, e and joinder were filed on 1, and a hearing will has been I for 12/9/2021. After that Defendants may file demurrers onal issues and motions to d the court has not set a for this third phase. A Case thent Conference is set for 2.				

LITIGATION AS OF OCTOBER 4, 2021

Case Number	Full Case Name	Status	Category	Case Description	Case Notes	Amount	Dismissed Date	Settled Date	Settlement
		Status	Carebory			Claimed	Distritissed Date		Amount
SCV-264530	David Pelayo, Roberto Hernandez, Edmond Andre, Bryan Munoz, and Brian Medeiros, each as an individual, and on behalf of the general public for all those similarly situated v Utility Partners of America, LLC, a Delaware corporation; City of Santa Rosa and DOES 1 through 250, inclusive.	Active - Set for Trial	General Litigation – Wage Claim	prevailing wages and related compensation versus employer	Early mediation was unsuccessful. Court overruled UPA's demurrer to City's cross- complaint. Discovery is ongoing. Trial is set for 1/28/2022.				
SCV-264359	Sonoma Citizens for Transparency in Government v City of Santa Rosa, County of Sonoma	Active - Pleadings	General Litigation - PRA	Plaintiff alleges that City wrongfully denied access to requested records under PRA.	Case Management Conference set for 11/9/21; Counsel have met and conferred, and plaintiff's counsel has indicated that City will be dismissed.				
ED CV06-0055- GW	United States, et al., ex rel. John Hendrix v. J-M Manufacturing Company, Inc., et al.	Stayed	General Litigaiton - Qui Tam	Federal qui tam action (and stayed state court action) filed against water pipe manufacturer for false claims	The Court denied exemplar plaintiff damages; final judgment expected soon and likely appeal to the Ninth Circuit on multiple issues. City's claims (as non- exemplar plaintiff) are currently stayed.	To be determined.			
PERSONAL	INJURY/DANGEROUS CONDITION								
SCV-263791	Meyer, Tessala Dakota v. City of Santa Rosa, Does 1-20	Active - Set for Trial	Personal Injury (Motorcycle Accident)	Claimant alleges SRPD officer negligent in operation of motorcycle which crashed into homeless tent	Trial Set for 11/12/2021.	Accord. Proof			
SCV-265050	Megan Marlow v City of Santa Rosa, Chelino's Restaurant, DOES 1-50	Active - Set for Trial	Personal Injury/Dangerous Condition	Alleged Personal Injury; Wrongful Death	Set for trial on 11/05/2021. The parties may seek a continuance of the trial date due to plaintiff's recent wrongful death allegation. Expert depositions have been scheduled.	Exceeds \$25K			
SCV-265374	Elizabeth Beck v County of Sonoma, et al	Settled	Personal Injury/Dangerous Condition	Alleged trip and fall on a sidewalk	Settled. Plaintiff has executed a Release Agreement and is in the process of confirming whether there is a final lien amount from Medicare.	Exceeds \$25K		6/2/2021	\$4,000.00
SCV-266515	John DeGroot v. City of Santa Rosa, and DOES 1 to 10, Inclusive	Active - Discovery	Personal Injury/Dangerous Condition	Claimant alleges he tripped on a broken piece of the curb, causing him to stumble and fall. Plaintiff alleges injuries as a result of his fall.	Discovery has commenced. Cross- Complaint has been filed, against all owners of adjacent property. Once all cross-defendants have been served, discovery will commence.				
SCV-267799	Lisa Brayson v. City of Santa Rosa; HIGHWAY 29 WINE AND BISTRO, INC. DEPATRTMENT29, INC. and DOES 1 to 100	Active - Discovery	Personal Injury/Dangerous Condition	Claimant alleges she tripped over an uneven sidewalk, causing injury to left arm.		Unknown			

Case Number	Full Case Name	Status	Category	Case Description		Amount	Dismissed Date	Settled Date	Settlement
SCV-269190	Carlos Jaureque by and through his guardian ad litem Annemarie Montes v. City of Santa Rosa, County of Sonoma, Luke Tully Ferrigno, and DOES 1 through 10, inclusive	Active	Personal Injury/Dangerous Condition	Dangerous condition of public property and negligence	Complaint recently filed; responsive pleading to be filed shortly.	Claimed			Amount
SCV-266721	Lyzette Campos and Howard Hernandez v. City of Santa Rosa, Timothy Gooler, and DOES 1-20	Settled	Personal injury/motor vehicle	Plaintiffs allege personal injuries caused by rear end collision between police patrol vehicle and plaintiffs' vehicle.	Matter settled on 9/24/2021; dismissal pending; Case Mangagement Conference set for 11/9/2021.			9/24/2021	\$18,500.00
POLICE ACT	ION	<u>.</u>	L	4					
3:19-cv-02450- VC	Debra Giambastiani v City of Santa Rosa Santa Rosa Chief of Police Robert Schreeder, Santa Rosa Officer Timothy Gooler, Santa Rosa Officer Tyler Laron, Santa Rosa Officer Christopher Mahurin, Santa Rosa Officer Kenneth Ferrigno, Santa Rosa employees DOES 1-25, County of Sonoma, Sonoma County Sheriff Mark Essick, Sonoma County employees DOES 26-50, Jointly and Severally	Stayed	Police Action	Alleged civil rights violation; malicious prosecution; false arrest and imprisonment; assault and battery; negligence; invasion of privacy; intentional infliction of emotional distress	Case stayed pending appeal of trial court ruling on motion to suppress in criminal case. Appeal is briefed, heard and pending disposition.				
3:21-cv-00892	Ryland Stamey v. City of Santa Rosa, a municipal corporation, Sonoma County, a municipal corporation, and DOES 1-50, inclusive	Settled	Police Action	Complaint for injuries and civil rights violations by police during protests	Case settled at mediation; City Council approved 8/3/2021; dismissal pending. <i>Note</i> : Settlement previously reported on 9/14/2021	To be determined.		8/5/2021	\$105,000.00
4:18-cv-07731 KAW	Robert Casey, an individual, v. City of Santa Rosa; and DOES 1-50, inclusive	Active - Set for Trial	Police Action	Plaintiff alleges violation of federal civil rights during arrest.	Trial set for 9/26/2022; The stay on discovery is lifted. Mandatory Settlement Conference scheduled for 12/2/2021.				
4:20-cv-01323	Herman Tamrat v. The Santa Rosa Police Department; Robert L. Schreeder, Chief of Santa Rosa Police Department; Officer Erick Rhoades (#352); Officer Patrick Albini; sued in their individual and official capacities	Active - Discovery	Police Action	Plaintiff alleges violation of federal civil rights during arrest.	Active - Discovery underway; A Motion for Summary Judgment was filed on 08/12/2021. Court will decide the motion without a hearing.				
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SCV-268751	Apogee Gardens, Inc., and Jason Smith v. City of Santa Rosa, City of Santa Rosa Planning and Economic Development, and Santa Rosa Code Enforcement and DOES 1- 10 inclusive	Active - Pleadings	Writ of Mandate	Complaint for injunctive, and declaratory relief, and for writ of mandate to compel production of public records	Responsive pleading filed by City on 9/7/2021 per extension granted.				

LITIGATION AS OF OCTOBER 4. 2021

Case Number	Full Case Name	Status	Category	Case Description	Case Notes	Amount Claimed	Dismissed Date	Settled Date	Settlement Amount
SVC-268752	5	Active - Pleadings	Writ of Mandate	Complaint for declaratory and injunctive relief; Petition for Writ of Traditional Mandamus; regarding mobile home park rent control ordinance - application of State price gouging limitations	City has filed answer to complaint.				
SCV-266092		Active - Pleadings	Writ of Mandate	Writ of Mandate and Complaint for Declaratory and Injunctive Relief relating to proposed Jennings Ave Crossing of SMART rail line. City is a Real Party in Interest and not a Defendant.	scheduled for 11/4/2021.				

NEW CLAIMS REFERRED TO THE CITY ATTORNEY'S OFFICE IN SEPTEMBER 2021

Claimant Name	Date of Claim	Date of Incident	Claim Amount	Location	Description of Claim
Risse, Walter S.	9/28/2021	6/16/2021	over \$25,000	Parking lot adjacent to	Claimant alleges he tripped over a poorly
				Bennett Valley driving	placed car stop causing injuries.
				range	